

1 **The tectonic stress field evolution of India since the Oligocene**

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23 **Abstract**

24 A multitude of observations suggest neotectonic deformation in and around India, but its causes  
25 and history is unknown. We use a 2 dimensional finite element model with heterogeneous  
26 elastic strengths in continental regions to model the regional stress field orientation and relative  
27 magnitudes since the Oligocene. The large-scale geological structure of India is embedded in  
28 our model by using published outlines of cratons, fold belts and basins, associated with  
29 estimates of their relative strengths, enabling the modelling of stress field deflections along  
30 interfaces between relatively strong and weak tectonic elements through time. At 33 Ma a  
31 roughly NNW-SSE oriented band of relatively high maximum horizontal compressive stress  
32 ( $S_{Hmax}$ ) straddled India's west coast, while India's east coast and the adjacent Wharton Basin  
33 were characterized by relatively low intraplate stresses. Between 20 Ma and the present growing  
34 collisional boundary forces combined with maturing mid-ocean ridge flanks result in the  
35 establishment of an arcuate belt with anomalously high intraplate stress that stretches from India  
36 to the Wharton Basin, intersecting the continental shelf roughly orthogonally and crossing the  
37 85° East and Ninetyeast ridges. This results in a compressive tectonic regime favouring folding  
38 and inversion northeast of the Godavari Graben on India's east coast, as observed in seismic  
39 reflection data, whereas no tectonic reactivation is observed on the continental margin further  
40 north, closer to the Mahanadi Graben, or further south. Our stress models account for these  
41 differences via spatial variations in modelled horizontal stress magnitudes and intersection  
42 angles between margin-parallel pre-existing basement structures and the evolving Neogene  
43 stress field. The models further account for fracture zone strike-slip reactivation offshore  
44 Sumatra and lithospheric folding along India's west and southeast coast and can be used to  
45 estimate the onset of these deformation episodes to at least the Oligocene and Miocene,  
46 respectively.

**48 1. Introduction**

49 Diffuse plate boundary deformation in the equatorial Indian Ocean is well understood in the  
50 context of the fragmentation of the Indo-Australian Plate following India-Eurasia collision. The  
51 progressive collision between India and Eurasia since the Oligocene has produced the largest  
52 intra-oceanic fold and thrust belt on Earth (Royer and Gordon, 1997). Its effects on the  
53 progressive deformation of the Central Indian Basin (Bull et al., 2010; Krishna et al., 2009), the  
54 breakup of the Indo-Australian Plate into the Indian, Capricorn and Australian plates (DeMets et  
55 al., 2005; Gordon et al., 1998), the first-order plate-wide stress field (Cloetingh and Wortel, 1986;  
56 Coblenz et al., 1998) as well as the detailed Australian stress field evolution (Dyksterhuis and  
57 Müller, 2008; Müller et al., 2012) have been studied. Published seismic profiles document  
58 folding on the eastern Indian continental shelf west of the northern segment of the 85° East  
59 Ridge (Bastia et al., 2010; Radhakrishna et al., 2012), an observation not accounted for by  
60 current tectonic models. A variety of observations related to the evolution of intraplate  
61 deformation can be analysed in the context of current and past intraplate stresses. The present-  
62 day stress field of the central Indian Ocean has been studied extensively, revealing regional  
63 patterns of extension in the west versus compression in the east of the central Indian Basin, and  
64 illuminating the role of the Chagos-Laccadive and Ninetyeast ridges in controlling the style of  
65 deformation (Delescluse and Chamot-Rooke, 2007; Sager et al., 2013). There are sophisticated  
66 published models for understanding global plate driving forces and lithospheric stresses, either  
67 focussing on the effect of mantle forces (Steinberger et al., 2001), or both mantle forces, large-  
68 scale lithospheric structure and topography (Ghosh et al., 2013; Ghosh and Holt, 2012; Lithgow-  
69 Bertelloni and Guynn, 2004). However, these models are all confined to the present-day and

70 have never been applied to the geological past. The reason for this is that various key model  
71 inputs and observations are not easy to quantify for the geological past. There is no global  
72 paleo-stress map for any time in the past. By the same token, we don't know paleotopography  
73 very well, a case in point being the Tibetan Plateau, where there are widely diverging  
74 interpretations of the evolution of Tibetan Plateau elevation, even at relatively recent times. In a  
75 recent review, Molnar et al. (2010) noted that the Tibetan Plateau elevation history cannot be  
76 quantified, but it seems likely that by 30 Ma a huge area north of Asia's pre-collisional southern  
77 margin extended from 20–25°N to nearly 40°N with a mean elevation perhaps as high as 1000 m.  
78 In the same year Song et al. (2010) estimated Tibetan Plateau elevation to have been at least  
79 3000 m since even earlier times, i.e. the Eocene. These large uncertainties make it difficult to  
80 use paleo-elevation estimates in paleo-stress models. In addition sparse geological and  
81 geophysical observations need to be used to ground-truth paleo-stress models, such as folding  
82 and faulting visible in seismic reflection lines across sedimentary basins and margins (Bastia and  
83 Radhakrishna, 2012; Gombos et al., 1995), rock microstructures from outcrops (Letouzey, 1986;  
84 Sippel et al., 2010) and fracture systems in chalk (Duperret et al., 2012). The sparsity of these  
85 data, which are additionally not compiled in any database (unlike present-day stress data) imply  
86 that the generation and testing of sophisticated lithospheric stress models for the geological past  
87 is challenging, as some key boundary conditions like topography and mantle structure are not  
88 well known, and nor are there rich and spatially dense data available for model validation. For  
89 the Indian subcontinent and the surrounding ocean crust a diverse range of observations have  
90 been used to constrain the nature and timing of tectonic reactivation, ranging from the mapping  
91 and modelling of folding and faulting of ocean crust in the central Indian Basin (Krishna et al.,  
92 2009; Royer and Gordon, 1997), the mapping of river paleo-channels (Subrahmanya, 1996),  
93 using geologic, geomorphic, and tide-gauge data to detect lithospheric buckling (Bendick and

94 Bilham, 1999), measuring fault activity and slip rates (Banerjee et al., 2008; Clark and Bilham,  
95 2008; McCalpin and Thakkar, 2003) and analysing Quaternary intraplate seismicity (Bilham et  
96 al., 2003) (Table 1). However, to date there are no published models of the intraplate stress  
97 evolution of the Indian subcontinent, nor for any other continent, with the exception of Australia  
98 (Müller et al., 2012). Modelling of the Australian paleo-stress field (Müller et al., 2012) has  
99 shown that if the horizontal continental stress field is strongly dominated by compressional  
100 edge forces, i.e. collisions and mid-ocean ridge forces, the first-order features of the stress field  
101 are well captured without including mantle forces or topography. A major problem with  
102 including mantle forces in paleostress models is our lack of knowledge of asthenospheric  
103 viscosity and its spatial and time-dependent variation, which is the main parameter governing  
104 how well mantle convection is coupled to a given plate or continent. This uncertainty is  
105 expressed in the great controversy over the influence of mantle convection and plume driving  
106 forces on the time-varying speed of the Indian Plate since the Late Cretaceous (Cande and  
107 Stegman, 2011; Kumar et al., 2007; van Hinsbergen et al., 2011), versus the effect of climate  
108 change (Iaffaldano et al., 2011) or changes in subduction geometry (Müller, 2007).

109 Despite the great uncertainties in paleo-stress field modelling, the sparsity of data and the  
110 simplicity of current modelling approaches, our motivation for exploring relatively simple  
111 paleo-stress models for India is the substantial interest in understanding the evolution of  
112 continental stress fields, for instance to unravel the formation and reactivation of structural  
113 hydrocarbon traps on the continental shelf (Bastia and Radhakrishna, 2012; Gombos et al.,  
114 1995) and for understanding the tectonic history of mobile belts and adjacent regions and their  
115 links with deep Earth resources.

116 Here we focus on modeling the evolution of India's paleo-stress field. We combine observations  
117 related to different time scales, using the world stress map database (years - 1000s of years) as  
118 well as structural reactivation and sediment folding visible in seismic reflection data (millions of  
119 years). Our study is focused on modeling the paleo-continental stress field, as opposed to  
120 building a detailed model for the present-day field. Our oceanic model lithosphere has a  
121 relatively simple structure, unlike the detailed models by Delescluse and Chamot-Rooke (2007)  
122 and Sager et al. (2013), which take into account the effect of aseismic ridges, seamount chains  
123 and other structural discontinuities on instantaneous deformation of the ocean crust. Our  
124 relatively simple models are not designed to compete with these more sophisticated plate  
125 deformation models for the present day. Instead our models are deliberately simplified in  
126 oceanic realms to allow us to restore now subducted ocean crust, whose detailed local structure  
127 is not known, and to primarily focus on modeling the past continental stress field. For paleo-  
128 stress field models the data available for model testing or validation are tiny in quantity and very  
129 different in character compared with the wealth and diversity of data constraining the present-  
130 day stress field (Heidbach et al., 2007). Tectonic reactivation through geological time is mainly  
131 reflected in faulting and folding preserved in basin and margin sediments, imaged by seismic  
132 reflection profiles. The model presented in this paper, designed to understand the paleo-stress  
133 field evolution of India, is the first of its kind; in addition to providing a first-order basis for  
134 understanding the nature and driving forces of structural reactivation in India and along its  
135 margins, it also provides an intriguing hint that the evolution of plate-driving forces and far-field  
136 stresses since the Miocene may allow us to better understand the concentration of intraplate  
137 stress south of Sumatra.

138

## 139 **2. Model setup**

140 We construct the first paleostress model for India by applying a well-established paleo-stress  
141 modelling methodology (Dyksterhuis et al., 2005a; Dyksterhuis and Müller, 2008; Dyksterhuis  
142 et al., 2005b) to model its lithospheric stress field and the surrounding oceanic crust for three  
143 time slices, the Late Oligocene (33 Ma), the early Miocene (20 Ma) and the present. These times  
144 were chosen because they represent tectonic events seen in India-Eurasia convergent rate graphs  
145 (Zahirovic et al., 2012). Paleostress modelling of the Australian continent has shown that both  
146 present and past stress fields can be well approximated by plate boundary stresses alone when  
147 the stress field is dominated by collisional forces, largely balanced by mid-ocean ridge forces  
148 (Müller et al., 2012). In these static paleostress models one side of the perimeter of a given plate  
149 needs to be kept fixed, and in our case we use the Tibetan Plateau. This means that instead of  
150 depending on the need to know the combination of forces actually acting on that side of the  
151 plate, including its topography, all other boundary forces acting on the plate are balanced by an  
152 equivalent force along the side that is being held fixed. The applied forces are optimised to best  
153 match present-day stress field data (Heidbach et al., 2007), and the optimised present-day model  
154 is used as a blueprint for paleo-stress models, which are set up using reconstructed plate  
155 geometries following Seton et al. (2012).

156 We reconstruct the plate boundary configuration and age-area distribution of ocean crust around  
157 Australia through time to obtain estimates for ridge push, slab pull and collisional forces acting  
158 on the Indo-Australian Plate since the early Cretaceous, following the methodology outlined in  
159 Dyksterhuis et al. (2005a; 2005b). In the case of the Indo-Australian Plate the dominant plate  
160 driving forces are the ridge push, slab pull and collisional forces originating at subduction and  
161 collision zones along the northern margin of the Indo-Australian Plate (Dyksterhuis et al.,

162 2005a). These forces are averaged over a 100 km thick lithosphere, and modelled stress  
163 magnitudes represent the deviatoric stress from a lithostatic reference state.

164 Modelling the contemporary and paleo-stress regimes was carried out using the finite element  
165 method as implemented in ABAQUS. Plate boundary geometries were imported from the plate  
166 boundaries dataset PB2002 (Bird, 2003). The outlines of continental tectonic elements for India  
167 and Australia were imported from the USGS Geologic Provinces of the World dataset  
168 (Osmonson et al., 2000). We use a two dimensional, elastic model typically containing around  
169 32,000 plane stress, triangular finite elements giving an average lateral mesh resolution of  
170 around 35km, using a linear elastic model rheology. The relative material strengths of individual  
171 tectonic provinces were implemented via the Young's moduli of the materials, with initial  
172 estimates for continental elements (cratons, fold belts and basins) taken from Dyksterhuis et al.  
173 (2005a). These Young's Modulus values are scaled 'effective' values, based upon the flexural  
174 rigidity estimates for Australia (Zuber et al., 1989), which we apply equivalently to similar  
175 terranes in India (Fig. S1, Table S1).

176 The use of the terms "strength", "strong", or "weak" here refer to relative stiffness or  
177 deformability of the lithosphere within an elastic regime (as governed by Young's modulus and  
178 Poisson's ratio), as opposed to some measure of the stress or stress differences that results in an  
179 onset of anelasticity. As we are constrained to (linearly) elastic behaviour, we have no  
180 consideration for any departure from that rheology. Due to limitations of the elastic method and  
181 the way in which material strengths are implemented in the modelling process (ie. by using an  
182 effective Young's modulus), the modelled  $\sigma_H$  magnitudes do not represent values with an  
183 accurate magnitude in an absolute sense, but rather represent relative magnitudes.



184 Initial boundary forces were assigned following Dyksterhuis et al. (2005a; 2005b) (Fig. S2,  
185 Table S2). However, the forces acting at subduction boundaries are not well understood, and  
186 differ at each individual subduction zone. Hence subduction zone forces are included as free  
187 parameters in the optimisation, whereas the mid-ocean ridge forces, which can be computed  
188 based on the age-area distribution of ocean floor (Müller et al., 2008a) remain fixed during  
189 optimisation. The Himalayan boundary was fixed to the model space edge to maintain  
190 equilibrium in the model. Plate geometries were projected into Cartesian space utilising a  
191 Lambert equal area projection that minimizes distortion of the model area. For a more in-depth  
192 account of the modelling process see Dyksterhuis et al. (2005a). World stress map (WSM) data  
193 (Heidbach et al., 2007; Zoback, 1992) (Fig. 1) were used to optimise plate driving forces and the  
194 model rheology. These data represent Maximum Principal Stress orientations ( $\sigma_{Hmax}$ ), classed  
195 according to the quality A, B or C; with A being within  $\pm 15^\circ$ , B within  $\pm 20^\circ$ , and C within  $\pm$   
196  $25^\circ$  (Zoback, 1992).

197 Instead of attempting to explicitly use paleotopography, which is not well known, as model  
198 input, we instead model the net forces acting on the Indian sub-continent along its northern  
199 boundary as a balanced response to all other forces applied to the model. Our models are far too  
200 simple for us to be able to interpret the resulting absolute stress magnitudes; therefore we restrict  
201 ourselves to interpreting the changes in maximum horizontal stress orientations through time,  
202 and major changes in the location of highly stressed lithospheric regions through time. These  
203 results are quite independent of the exact scaling of the equivalent collisional force along the  
204 fixed perimeter of our models.

205

### 206 **3. Plate reconstructions, ridge push and slab pull forces through time**

207 Using a global relative and absolute plate motion model (Müller et al., 2008a; Müller et al.,  
208 2008b) we created reconstructions of the geometry (Figs. S2, S3) and age-area distribution of  
209 the ocean floor of the Indo-Australian Plate region for the Early Miocene (20 Ma) and Late  
210 Oligocene (33 Ma). The optimum plate rheology values from the contemporary model were  
211 used in the reconstructed models. However there are two reconstructed areas, as parts of greater  
212 India and greater Papua New Guinea, which have now been destroyed through collisional  
213 processes. These areas were assigned the values of ‘Himalayan foreland’ region and ‘Papua  
214 New Guinea’, respectively (Table S1). The same methodology as used to calculate present-day  
215 mid-ocean ridge forces was applied to reconstructed plate assemblies, based on the reconstructed  
216 age-area distribution of the ocean floor (Müller et al., 2008b). Subduction zones around the  
217 Indo-Australian Plate have changed substantially throughout the Neogene. We use the  
218 previously established approach to estimate paleo-plate driving forces for subduction zones, by  
219 our present-day model inversion, using the approach outlined in Dyksterhuis and Müller (2005a)  
220 and Dyksterhuis et al. (2005b) (Tables S2 and S3). Despite relatively minor changes in mid-  
221 ocean ridge geometries since the Oligocene in our study area, the applied ridge push force is  
222 over 60 % smaller in the Oligocene than at present. This is because the expression “ridge push”  
223 is a misnomer, in the sense that the force which the mid-ocean ridge system exerts on the plate  
224 on either side of a given ridge arises due to the total area of elevated topography at mid-ocean  
225 ridges and their flanks relative to abyssal plains. The ridge push force corresponds to a  
226 distributed pressure gradient that acts normal to the strike of the mid-ocean ridge (Wilson,  
227 1993), and is based on the age-area (and consequent depth-area) distribution of a given mid-  
228 ocean ridge flank, as opposed to the ridge alone pushing the plates apart. The force contribution  
229 from the subsiding and cooling oceanic lithosphere bordering a mid-ocean ridge is given by this  
230 relationship (Turcotte and Schubert, 2002):

231 
$$F_{RP} = g\rho_m\alpha_v(T_m - T_0)\left[1 + \frac{2\rho_m\alpha_v(T_m - T_0)}{\pi(\rho_m - \rho_0)}\right]\kappa t$$

232 where gravity ( $g$ ) is  $10\text{m/s}^2$ , the densities of the mantle ( $\rho_m$ ) and water ( $\rho_w$ ) are  $3300\text{ kg/m}^3$  and  
 233  $1000\text{ kg/m}^3$  respectively, thermal diffusivity ( $\kappa$ ) is  $1\text{ mm}^2/\text{s}$ , the temperature difference between  
 234 the mantle and the surface ( $T_m$  and  $T_0$  respectively) is  $1200\text{ K}$ , the thermal expansion coefficient  
 235 ( $\alpha_v$ ) is  $3 \times 10^{-5}/\text{K}$  and  $t$  is the age of the lithosphere in seconds. In the Oligocene, most of the  
 236 currently existing ridge flanks in the southeast Indian ocean did not yet exist, as seafloor  
 237 spreading had been extremely slow until about  $45\text{ Ma}$  (Müller et al., 2008b); therefore the ridge  
 238 flank area contributing to “ridge push” was significantly smaller in the Oligocene compared to  
 239 today.

240 The slab pull force originates from the negative buoyancy of the down-going dense  
 241 oceanic lithosphere at subduction zones and is proportional to the excess mass of the cold slab in  
 242 relation to the mass of the warmer displaced mantle (Spence, 1987). The force contribution can  
 243 be given by the relationship (Turcotte and Schubert, 2002):

244

245 
$$F_{SP} = \left(2\rho_m g\alpha_v b(T_c - T_0)\left(\frac{\kappa\lambda}{2\pi u_0}\right)^{1/2}\right) + \left(\frac{2(T_c - T_0)\gamma\Delta\rho_{os}}{\rho_m}\left(\frac{\kappa\lambda}{2\pi u_0}\right)^{1/2}\right)$$

246

247 where  $b$  = slab length,  $\lambda = 4000\text{ km}$ ,  $u_0 = 50\text{ mm/yr}$ ,  $\gamma = 4\text{MPa/K}$ ,  $\Delta\rho_{os} = 270\text{ kg/m}^3$ , with the  
 248 remaining parameters identical to those in the equation used for ridge push.

249 For fast moving plates ( $5\text{-}10\text{ cm/yr}$ ) the subducting slab attains a ‘terminal velocity’ where  
 250 forces related to the negative buoyancy of the slab are balanced by viscous drag forces acting on  
 251 the slab as it enters the mantle and the net force experienced by the horizontal plate is quite

252 small (Forsyth and Uyeda, 1975). The amount of net force actually transferred to the horizontal  
253 plate, however, is still quite controversial. Schellart (2004) suggests as little as 8%-12% of slab  
254 pull force is transferred to the horizontal plate while Conrad and Lithgow-Bertelloni (2002)  
255 suggest as much as 70%-100% may be transmitted. We varied the magnitudes of plate driving  
256 forces acting on a given subduction zone segment over a range of  $5 \times 10^8$  N/m to  $-5 \times 10^8$  N/m  
257 with best-fit force signs and magnitudes for our present-day model constrained by the resulting  
258 fit stress directions from the global stress database (Heidbach et al., 2007). The collisional  
259 boundary between the Indo-Australian and Eurasian plates at the Himalayas was modelled as a  
260 fixed boundary in the modelling process in order to maintain mechanical equilibrium for all  
261 times. In our model this boundary will still contribute forces to the resultant stress field of the  
262 plate; however, these forces are not imposed but obtained in the modelling process as a set of  
263 forces balancing all other forces applied to the model.

264         The overall stress pattern in our best-fit models is controlled by a balancing of mid-ocean  
265 ridge forces along the southern margin of the Indo-Australian Plate and collision at the northern  
266 boundary at the Himalayas and Papua New Guinea, as concluded by previous studies (Hillis et  
267 al., 1997). The exact contribution of slab pull to the motion of plates is theoretically a few times  
268  $10^{13}$  N m<sup>-1</sup> (Coblentz et al., 1995). However, results from previous studies (Richardson, 1992)  
269 and our own modelling of the Indo-Australian stress field strongly indicate that the dominant  
270 driving forces acting on the Indo-Australian Plate are ridge and collisional forces, with forces  
271 acting at subduction boundaries mostly contributing a compressive force to the total Indo-  
272 Australian stress field. Copley et al. (2010) recently come to different conclusions with respect  
273 to the force balance for India, but their model was based on treating India as a separate plate,  
274 even though it is clearly strongly coupled to the Australian Plate, despite the existence of a

275 diffuse plate deformation zone between them, and their modelling approach did not consider  
276 fitting stress field data.

277

#### 278 **4. Model Inversion**

279 Inversion of model parameters was implemented by coupling the Nimrod/O optimisation  
280 software to ABAQUS model runs (Dyksterhuis and Müller, 2004). Nimrod/O can be set up to  
281 run an ABAQUS finite element model tied to Nimrod's non-linear optimisation process.  
282 Nimrod/O allows a user to specify the output variable to be minimized, which in our case  
283 corresponds to the residual  $\sigma_H$  misfit value, to optimise the overall fit between the stress models  
284 with observed data. Implementing ABAQUS in conjunction with Nimrod/O allowed for  
285 extensive exploration of the boundary force and material property parameter space through  
286 automated execution of thousands of models using intelligent optimisation techniques  
287 (Abramson et al., 2000; Lewis et al., 2003). Nimrod/O includes a number of alternative iterative  
288 automatic optimisation algorithms to search a parameter space for highly non-linear problems.  
289 It also enables parallel model runs, resulting in improved efficiency of the chosen optimisation  
290 method. For our palaeo-stress analysis the Simulated Annealing method van Laarhoven and  
291 Aarts (1987) embedded in Nimrod/O was chosen as it allows efficient escapes from local  
292 parameter space minima.

293 Nimrod/O contains algorithms for optimisation by minimising an objective function. The  
294 software package combines a number of different iterative automatic optimisation algorithms to  
295 intelligently search a parameter space for highly non-linear and over determined problems. It  
296 also enables parallel models runs, resulting in improved efficiency and intelligence of the  
297 standard optimisation methods. It further has the advantage that it is completely separate from a

298 given forward model, and the objective function used. For our problem the simulated annealing  
299 method was chosen, as it allows an efficient escape from local parameter space minima (van  
300 Laarhoven and Aarts, 1987). This implementation included a preliminary testing of random  
301 starting points to evaluate the smoothness of the parameter space, and multiple random  
302 evaluations at each step.

303 A  $\pm 0.5^\circ$  latitude and longitude window was searched around each relevant WSM measurement  
304 (Fig. 1) and the mean taken of the residual between the observed and modelled principal stress  
305 field orientation. We found that the A residuals had a Gaussian distribution centred at  $\sim 15^\circ$ , with  
306 outliers or 'noise' above  $30^\circ$ . The B class data had a similar distribution though slightly higher  
307 spread as expected. The C class data, however, had a near-uniform distribution from  $0-90^\circ$ .  
308 Hence we used a weighted mean function for assessing the goodness of fit of a given model to  
309 combined WSM data with differing quality: Objective function =  $(4*\text{mean}(A) + 3*\text{mean}(B) +$   
310  $1*\text{mean}(C))/8$ .

311 As the number of unknown variables increases, there is a proportionally exponential growth  
312 in the complexity of the optimisation problem to be solved, which results in a more  
313 complex and sensitive solution space to explore. The computing time also increases  
314 exponentially as the parameter space is raised to higher dimensions. Hence steps were taken to  
315 reduce the number of variables, and place reasonable constraints on the bounds of their possible  
316 values. In the model the plate geometry and geometry of lithospheric tectonic elements is  
317 assumed to be correct, leaving rock strength and boundary forces to adjust. To further constrain  
318 the optimisation, we assume the Poisson's ratio (0.25) to be correct as it varies little  
319 (Christensen, 1996).

320 The initial estimate for equivalent Young's Moduli for lithospheric provinces were taken from  
321 Dyksterhuis et al. (2005b) who scaled flexural rigidity to a relative Young's Modulus by a  
322 linear constant. For the Indian continental Young's Moduli, a limit of +/-20% variation was set.  
323 Because mid-ocean ridge forces can be computed precisely given an age-area distribution of  
324 ridge flanks, the computed initial values were held constant. All other forces were set to an  
325 initial estimate as summarised in Tables S2 and S3 with bounds of +/- 20%. The best-fit values  
326 obtained via optimisation from the contemporary model were propagated into the paleo-models,  
327 but using reconstructed plate boundary geometries and computing ridge forces derived from  
328 reconstructed age-area distributions of ocean floor age.

## 329 **5. Results**

330 More than 10000 models were executed before converging on a best-fit present-day model (Fig.  
331 1), which has a mean residual of  $15^\circ$  using A-quality stress data and  $\sim 30^\circ$  over the weighted A,  
332 B and C WSM measurements, resulting in the refined plate boundary forces and model  
333 rheologies listed in Tables S1-3. To investigate the sensitivity of the model, the optimized  
334 solution was used to conduct an exhaustive search on the boundary forces only. The bounds of  
335 the search were set to +/- 10% of magnitude for a given optimized force. The resulting dataset of  
336 more than 2500 residual stress directions had a standard deviation of just  $0.07^\circ$ , illustrating that  
337 the model as a whole is relatively insensitive to precise scaling of boundary forces. This  
338 justifies the use of approximate boundary forces for reconstructed models, which cannot be  
339 formally optimized against any given data set, given the scarcity of paleo-stress observations.  
340 WSM stress data at a given location may also be affected by localized deviations of the stress  
341 field, such as local faults, which are not considered in our model. The residual misfits in our

342 optimized model may largely reflect such local stress field variations. All initial and optimized  
343 model parameters are listed in Tables S1-3.

344 Our model illustrates how the complex evolution of edge forces acting on the Indo-Australian  
345 plate boundaries through time can account for the spatial distribution of intraplate seismicity  
346 offshore Sumatra as well as non-seismogenic deformation along India's eastern margin. At 33  
347 Ma a roughly NNW-SSE oriented band of relatively high maximum horizontal compressive  
348 stress ( $S_{Hmax}$ ) straddled India's west coast, while India's east and the Wharton Basin were  
349 characterized by relatively low intraplate stresses (Figs. 2a and 3a). At 20 Ma the compressional  
350 belt crossing India widens substantially and propagates beyond the SE coast, while the Wharton  
351 Basin remains at low intraplate stress levels (Figs. 2b and 3b). Between 20 Ma and the present-  
352 day growing collisional boundary forces combined with maturing mid-ocean ridge flanks and  
353 increasing ridge push force result in the establishment of an arcuate belt with anomalously high  
354 intraplate stress that stretches from India to the Wharton Basin, intersecting the continental shelf  
355 and crossing the 85°East and Ninetyeast ridges (Figs. 2b, 2c, 3b and 3c).

## 356 **6. Discussion**

### 357 *Lithospheric buckling*

358 A combination of onshore geomorphological observations, potential field data and the  
359 distribution and type of earthquakes have led to the suggestion that large-scale buckling and/or  
360 fault reactivation of the Indian lithosphere may be occurring as a consequence of the India-  
361 Eurasia collision (Bendick and Bilham, 1999; Subrahmanya, 1996; Vita-Finzi, 2004, 2012).  
362 Here we use a recently published Bouguer gravity anomaly grid (Fig. 5) by Balmino et al.  
363 (2012) to test these hypotheses, in the context of our stress models. Lithospheric buckling is  
364 expected to cause Moho undulations which should be well expressed in Bouguer gravity



365 anomalies. We also plot published structural trends over the EMAG2 magnetic anomaly map  
366 (Maus et al., 2009) (Fig. 6) in the expectation that prominent linear magnetic anomalies may  
367 reflect major crustal/lithospheric inhomogeneities and/or intrusive bodies that may focus  
368 buckling in particular regions. Five WSW-ENE oriented fold axes along the southwest coast of  
369 India interpreted by Bendick and Bilham (1999), related to inferred buckling at wavelengths of  
370 about 200 km (Fig. 5), do not coincide with clear linear Bouguer gravity anomaly features with  
371 the exception of the axis located around 12°N, which is also located on the edge of a magnetic  
372 anomaly high to the north of the inferred fold axis (Fig. 6). This fold axis is also located close to  
373 the roughly east-west striking Mulki-Pulikot Lake Axis (Figs. 5 and 6) which separates northeast  
374 from southeast flowing rivers (Subrahmanya, 1996). All fold axes interpreted by Bendick and  
375 Bilham (1999) are sub-parallel with linear magnetic anomaly features (Fig. 6) and roughly  
376 orthogonal to our modelled current and paleo-stress  $S_{Hmax}$  directions. Therefore, these  
377 interpretations appear plausible even though not all of these features are expressed in Bouguer  
378 gravity anomalies.

379 The Bouguer anomaly map also reveals a series of sub-parallel NE-SW striking undulations with  
380 wavelengths of roughly 100 km in the southeastern region of India (Fig. 7), part of the “southern  
381 granulites” province (Figs. 2, 5). Since the directions of these linear Bouguer anomalies are  
382 orthogonal to the regional maximum horizontal stress field, which has persisted throughout the  
383 Neogene, we suggest that most of these structural trends likely reflect lithospheric folds formed  
384 in response to the regional NW-SE oriented maximum horizontal stress. These features are  
385 parallel to undulations in a previous isostatic gravity map used by Subrahmanya (1996) together  
386 with geological data to infer lithospheric buckling in the region. The northeasternmost extension  
387 of these gravity undulations is also associated with a group of large earthquakes (Fig. 5). The  
388 observed wavelengths are typical of lithospheric folding in relatively warm lithosphere (Burg

389 and Podladchikov, 1999). This observation is consistent with the relatively high regional mantle  
390 heat flow modelled for parts of the Southern Granulite Province of 23–32 mW m<sup>-2</sup>, contrasting  
391 with significantly lower mantle heatflow of 11–16 mW m<sup>-2</sup> in the Archaean Dharwar  
392 greenstone-granite-gneiss province further north (Ray et al., 2003), where Bouguer gravity  
393 anomalies do not suggest short-wavelength lithospheric folding (Fig. 5). Our paleo-stress models  
394 suggest that the folds interpreted by Bendick and Bilham (1999) along the west coast of India  
395 may be as old as 33 Ma, as our models imply maximum horizontal stress directions orthogonal  
396 to these features with relatively high amplitudes since 33 Ma. In contrast, our model suggest  
397 that the southeastern granulite province folds are not older than 20 Ma. Even though our 33 Ma  
398 model exhibits similar  $S_{Hmax}$  orientations to the younger model times, the  $S_{Hmax}$  amplitudes were  
399 extremely small prior to 20 Ma (Fig. 2).

#### 400 *Continental shelf tectonic reactivation*

401 The eastern continental shelf of India can be considered as two units, one paralleling the ~N-S  
402 trending coastline (south of 16°N, including the Godavari Graben) and another paralleling a  
403 NE-SW trend of the coastline (north of 16°N and between Godavari and Mahanadi grabens).  
404 The modelled azimuth of the maximum horizontal stress is orthogonal to the margin within the  
405 NE-SW striking shelf segment between the Godavari and Mahanadi grabens combined with  
406 relatively high horizontal stress magnitudes. This region corresponds to the basement-involving  
407 folds seen only in profiles P3 and P5 (Fig. 4), but not in profile P2 further north and profile P6  
408 further south (Bastia and Radhakrishna, 2012). In the ~N-S trending continental margin unit,  
409 although the maximum horizontal stress magnitude is quite high here as well, the intersection  
410 angle of the stress field relative to the strike of the continental shelf is not orthogonal, but around  
411 ~45°, making this region more prone to strike-slip reactivation than folding, explaining the

412 absence of major folds in profile P6. The absence of any major tectonic reactivation along  
413 profile P2 reflects the relatively low present-day horizontal stress magnitudes along this margin  
414 segment (Fig. 2).

415 At present day the highly stressed belt crossing India widens substantially, accompanied by  
416 increased horizontal stress magnitudes (Fig. 2c). Along the eastern margin of India this highly  
417 stressed band is split into two strands by the rheologically weak Godavari Graben and limited in  
418 extent towards the northeast by the Mahanadi Graben (Fig. 2c).  $S_{Hmax}$  orientations at both model  
419 times are roughly parallel to the western margin of India, thus limiting the likelihood of tectonic  
420 reactivation of rift-related faults there. In contrast, the  $S_{Hmax}$  orientations straddling the eastern  
421 margin of India intersect the continental shelf roughly orthogonally, between the Godavari and  
422 Mahanadi grabens, resulting in a compressive tectonic regime orthogonal to rift-related faults  
423 (Fig. 2c). This causes a tectonic regime favouring folding and inversion northeast of the  
424 Godavari Graben on India's east coast, as observed in seismic reflection data west of the  
425 northern portion of the 85° East Ridge (Bastia et al., 2010; Radhakrishna et al., 2012). Bastia et  
426 al.'s (2010) profile 5 (see Fig. 2c for location) intersects the Krishna-Godavari Basin and  
427 displays distinct folding at wavelengths of the order of 10 km of most of the sedimentary section  
428 along the foot of the continental slope; however the "shale bulge" folds are most visible in the  
429 Cenozoic section because of a distinct set of high-amplitude seismic reflections characterizing  
430 this part of the section (Radhakrishna et al., 2012) (Fig. 4b). Their profile 3 intersects the  
431 Visakhapatnam Bay Basin (Fig. 2c) and exhibits similar folds along the foot of the continental  
432 slope (Fig. 4a). In both cases the folds are centred on basement faults or highs. Our paleo-stress  
433 models suggest that this episode of folding occurred some time between 20 Ma and the present,  
434 when the NW-SE oriented band of high-magnitude maximum horizontal stress propagated

435 southeastward onto the continental shelf northeast of the Godavari Graben, as observed on the  
436 present-day stress map for India (Fig. 2c).

437 It is important to recognise that such regional tectonic reactivation is not included in the global  
438 strain rate map of Kreemer et al. (2003). This map is entirely focussed on deformation adjacent  
439 to plate boundaries. In contrast, taking Australia as an example, there are several  
440 intracontinental regions, including the Adelaide fold belt and the Bass Strait, in which very well  
441 documented, severe intraplate deformation is taking place today (Hillis et al., 2008). Along the  
442 Adelaide fold belt this reactivation is associated with pronounced inversion and Neogene uplift  
443 of up to 1-2 km (Dyksterhuis and Müller, 2008; Holford et al., 2011). This region of major  
444 intraplate deformation is omitted in Kreemer et al.'s (2003) global strain rate map. Therefore  
445 there is no surprise that other regions of somewhat less severe intraplate deformation are equally  
446 omitted from this map, considering that Kreemer et al.'s (2003) map is focussed on deformation  
447 along active plate boundaries, not passive margins or other regions of rheological weakness  
448 within continental areas. Therefore the assimilation of geological data into current and paleo-  
449 stress maps plays an important role in highlighting additional areas of intraplate deformation.

450 The seismic reflection data we use here to ground-truth our model clearly show basement-  
451 involved folding and faulting in the region coinciding with a current horizontal stress maximum  
452 with maximum horizontal stress orientations roughly orthogonal to the strike of the margin  
453 (Figs. 4a, 4b). The fact that folding of the sedimentary succession can be traced all the way to  
454 basement steps excludes an interpretation of the features seen in the seismic data as slumping of  
455 sediments down the continental slope. In addition, the deformation seen here on profiles P3 and  
456 P5 is extremely similar to that well-documented on the northwest shelf of Australia in the  
457 Browse Basin (Müller et al., 2012; Struckmeyer et al., 1998), which is also associated with

458 relatively old Early Cretaceous ocean floor, whereas we interpret the densely spaced subvertical  
459 faults visible on profile P6 as analogous to strike-slip and en-echelon faults found on Australia's  
460 Northwest shelf in an oblique compressional tectonic regime (De Ruig et al., 2000; Shuster et  
461 al., 1998).

462 The onset of deformation between the India and Capricorn plates in the Central Indian Basin has  
463 recently been estimated as 15.4–13.9 Ma from a combination of seismic stratigraphy and plate  
464 kinematics, with a sharp increase in fault activity at 8–7.5 Ma (Bull et al., 2010). Seismic profile  
465 3 from Bastia et al. (2010) (Fig. 4b) illustrates that the top Miocene is similarly folded to deeper  
466 parts of the Cenozoic sequence, e.g. the Top Eocene, whereas the overlying Pliocene sequence is  
467 only gently folded. This indicates that this folding event occurred some time around the latest  
468 Miocene, and given the observed 8-7.5 Ma major increase in fault activity in the Central Indian  
469 Basin (Bull et al., 2010) it is likely that the propagation of increased maximum horizontal  
470 stresses onto this region of the continental margin as modelled for the present (Fig. 2c) occurred  
471 contemporaneously around this time.

472 The present-day horizontal stress field magnitudes exhibit a ~500km wide circular maximum  
473 offshore western Sumatra, intersecting three large-offset fracture zones at roughly 45°,  
474 favouring fracture zone strike-slip reactivation relatively close to the trench as expressed in the  
475 magnitude 8.6 and 8.2 events in April 2012, the largest oceanic strike-slip event in the  
476 instrumental record (Fig. 3c) (Delescluse et al., 2012; Yue et al., 2012). The post-20 Ma growth  
477 of trench-parallel horizontal stress magnitudes in oceanic domain results in another highly  
478 stressed band of ocean floor offshore eastern Sumatra and Java (Fig. 3c). However, most of it  
479 does not intersect major fracture zones, and therefore does not lead to great earthquake clusters.  
480 This difference is related to observations made by Deplus et al. (1998), who compared the mode

481 of seafloor deformation east and west of the Ninetyeast Ridge, and noted that east of the ridge  
482 the presence of numerous fracture zones (Fig. 3c) interacts with the regional stress field to cause  
483 north-south strike-slip fault reactivation along these lines of tectonic weakness. In contrast, the  
484 region west of the Ninetyeast Ridge, where the maximum horizontal stress orientations are  
485 similar (Fig. 3c), but where fracture zones are more sparse, the seafloor deforms by folding and  
486 reverse faulting (Deplus et al., 1998). The latter regional pattern of deformation is not  
487 associated with great earthquakes (Fig. 3c), because a lower compressive stress magnitude  
488 compared to the region east of the Ninetyeast Ridge is paired with a lack of fossil fracture zones  
489 to be reactivated. The scarcity of major fracture zones south of eastern Sumatra and Java (Fig.  
490 3c) equivalently prevents widespread strike-slip reactivation of fossil fracture zones here (with  
491 one exception being the Investigator Fracture Zone (Abercrombie et al., 2003)), whereas the  
492 tectonic niche environment southwest of Sumatra provides a unique coincidence of a regional  
493 compressive stress field intersecting three large-offset fracture zones at an ideal angle ( $\sim 45^\circ$ ) for  
494 causing a regional cluster of large magnitude strike-slip earthquakes.

## 495 **7. Conclusions**

496 Our models represent the first set of paleo-stress models for India and the surrounding margin  
497 and ocean crust. Despite their simplicity, our paleo-stress models capture some first order  
498 features of the regional horizontal stress field evolution. They capture the effect of the  
499 progression from the initial “soft” collision between India and Eurasia to the present, mature  
500 collision state on the regional lithospheric stress field, and the modulation of stress magnitudes  
501 and directions by the geometry and strength of relatively weaker and stronger lithospheric  
502 elements including cratons, basins and fold belts. Even though western India was subject to  
503 relatively high horizontal stress during the soft collision, the propagation of anomalously high

504 intraplate stress across the east coast of India and into the Central Indian Basin, reaching two  
505 maxima offshore Sumatra and Java, only occurred between 20 Ma and the present. Our model  
506 accounts for the occurrence of folding along the west and southeast coast of India as well along  
507 two segments of India's eastern continental margin, north and south of the Godavari Graben,  
508 respectively, and the lack of any major tectonic reactivation along the continental margin close  
509 to the Mahanadi Graben, reflecting the spatial differences in horizontal stress magnitudes and  
510 the intersection angle between the maximum horizontal stress directions and the strike of the  
511 margin, and thus the strike of margin-parallel tectonic basement fabric.

512 Our model also provides an explanation for the peculiar clustering of large earthquakes in the  
513 northern Wharton Basin, including the intraplate magnitude 8.6 and 8.2 events in April 2012, the  
514 largest oceanic intraplate earthquake in the instrumental record. The region represents a unique  
515 tectonic niche where three major fracture zones intersect an intraplate horizontal stress  
516 maximum at roughly 45°. A similar, more extensive stress maximum is modelled further east  
517 offshore Java, but it does not coincide with a large-offset fracture zone cluster, thus providing  
518 only few opportunities strike-slip reactivation of lithospheric weaknesses.

519 Our basic 2D model could be improved in many ways, for instance by using a depth-dependent  
520 rheology of the lithosphere, by attempting to include paleo-topography, and considering its  
521 uncertainties, by further exploring the parameter space of plate boundary forces through time, by  
522 including a more heterogeneous and realistic structure of the oceanic lithosphere and by  
523 compiling more observations constraining tectonic reactivation through time that could be used  
524 to further test paleo-stress models. However, considering that our relatively simple approach  
525 represents the first attempt at modelling the stress field history of India and its surrounds, we  
526 believe that our model has revealed some key first-order features of the regional paleo-stress

527 field evolution, which will prove to be a useful reference model for future studies. In addition,  
528 our regional paleo-stress model data are freely downloadable from  
529 <http://www.earthbyte.org/resources.html>, making it easy to overlay other data over these models  
530 in a geographic information system and also potentially use them for assessing the regional risk  
531 of the breaching of hydrocarbon traps through time.

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<b>Tectonic event</b>	<b>Timing</b>	<b>Evidence</b>	<b>Reference</b>
Intraplate deformation in Central Indian Basin	mid-Miocene	Large-scale folding & faulting	[1], [2]
Quaternary Seismicity	Quaternary	Large magnitude earthquakes (eg. Bhuj, Latur, Koyna)	[3]
Uplift of southern Indian peninsula	Quaternary	Migration of paleo-channels, seaward shift of bathymetry contours	[4]
Rise of Shillong Plateau	Miocene	Acceleration of fault slip rates along the Shillong Plateau	[5], [6]
Tectonic uplift in Kachchh	Early Quaternary	Activities along E-W trending Katrol Hill Fault	[7]
Tectonic uplift in Kachchh	Late Pleistocene	Activities of transverse strike-slip faults	[7]
Lithospheric buckling along southwest coast of India (200 km wavelength)	Quaternary	Geologic, geomorphic, and tide-gauge data	[8]

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Table 1. Chronology of Neogene tectonic events on and around the Indian subcontinent. [1] (Royer and Gordon, 1997); [2] (Krishna et al., 2009); [3] (Bilham et al., 2003); [4] (Subrahmanya, 1996); [5] (Banerjee et al., 2008); [6] (Clark and Bilham, 2008); [7] (McCalpin and Thakkar, 2003); [8] (Bendick and Bilham, 1999)

740 **Figure captions**

741 Figure 1. Modelled present-day maximum horizontal stress magnitudes (following the  
742 convention that compression is positive) and directions (shown by thin black bars)  
743 for the Indo-Australian plate. Stress orientation data are from the world stress map  
744 database, with category A (purple) and B (blue) data colour coded. Stress data with  
745 quality less than B are omitted from this map to improve its readability – however,  
746 C-quality data were included in our model. SUM: Sumatra

747 Figure 2. Modeled maximum horizontal stress magnitudes and directions for India for the late  
748 Oligocene (33 Ma) (a), Early Miocene (20 Ma) (b) and the present (c). Plotting  
749 conventions as in Fig. 1. Outlines of major seamount chains are shown as thin light  
750 grey lines, and boundaries between continental and oceanic crust (Müller et al.,  
751 2008a) as thick grey lines. Major faults, rifts and other structural and tectonic trends  
752 are compiled from Biswas (1982), Bhattacharya and Subrahmanyam (1986) and  
753 Mitra (1994) and plotted as thick black lines. The thick dotted lines represent  
754 locations of seismic sections presented in Figure 4. The red lines represent fold axes  
755 inferred to have formed due to neotectonic events of uplift and subsidence caused by  
756 buckling of lithosphere (Bendick and Bilham, 1999). The dashed magenta line  
757 represents the Mulki-Pulikot Lake Axis (Subrahmanya, 1996), which separates  
758 northeast flowing rivers from southeast flowing rivers. NSL: Narmada-Son  
759 Lineament; GG: Godavari Graben; MG: Mahanadi Graben; 85°ER: 85°E Ridge

760 Figure 3. Modeled maximum horizontal stress magnitudes and directions for the Wharton Basin  
761 area for the late Oligocene (33 Ma) (a), Early Miocene (20 Ma) (b) and present (c).  
762 Plotting conventions as in Fig. 1. Outlines of major seamount chains are shown as  
763 thin light grey lines, fracture zones from Matthews et al. (2011) as thick black lines  
764 and continental crust (Müller et al., 2008a) is grey-shaded. Bold dark-grey lines  
765 outline extinct mid-ocean ridges. Strike-slip earthquakes are plotted as filled black  
766 circles and earthquakes with thrust faulting and normal faulting mechanisms as  
767 filled red circles and blue circles, respectively. Solid stars represent the locations of

768 intraplate strike-slip earthquakes of magnitude 8.6 and 8.2 occurred in the Wharton  
769 Basin on 11th April 2012. NER: Ninetyeast Ridge; WHB: Wharton Basin.

770 Figure 4. Multichannel seismic reflection sections along profiles 3 (a) and 5 (b), modified  
771 from Bastia et al. (2010). See Fig. 2c for locations, labelled as P3 (Profile 3) and P5  
772 (Profile 5). Note the distinct basement-involved folding of the sedimentary section  
773 above basement steps/faults around the foot of the continental slope on both profiles,  
774 with similar fold amplitudes in the deep and shallow part of the section. Main  
775 interpreted horizons are top Eocene, top Oligocene and top Miocene (all in green).  
776 The pink and blue horizons represent layers younger than Miocene (but whose exact  
777 age is not known), but these lines are drawn to show that the basement-involved  
778 folding in P3 is traceable to layers younger than Miocene.

779 Figure 5. Map of the Indian subcontinent and the adjoining regions showing major faults, rifts  
780 and other structural and tectonic trends from Biswas (1982), Bhattacharya and  
781 Subrahmanyam (1986) and Mitra (1994) (plotted as thin black lines) along with  
782 locations of earthquakes and a colour-coded image of Bouguer gravity anomalies  
783 (Balmino et al., 2012). Solid black stars represent locations of earthquakes with  
784 magnitudes more than 4.5 and open black stars represent locations of earthquakes  
785 whose magnitude is unknown but intensity is greater than VI (Gowd et al., 1996).  
786 Fault plane solutions are plotted for the earthquakes whose epicentral source  
787 parameters are available from Global Centroid Moment Tensor Catalogue  
788 ((Dziewonski et al., 1981; Ekström et al., 2012). The red lines represent fold axes  
789 inferred to have formed due to neotectonic events of uplift and subsidence caused by  
790 buckling of lithosphere (Bendick and Bilham, 1999). The green lines within the  
791 Indian subcontinent represents the major permanent rivers. The dashed magenta line

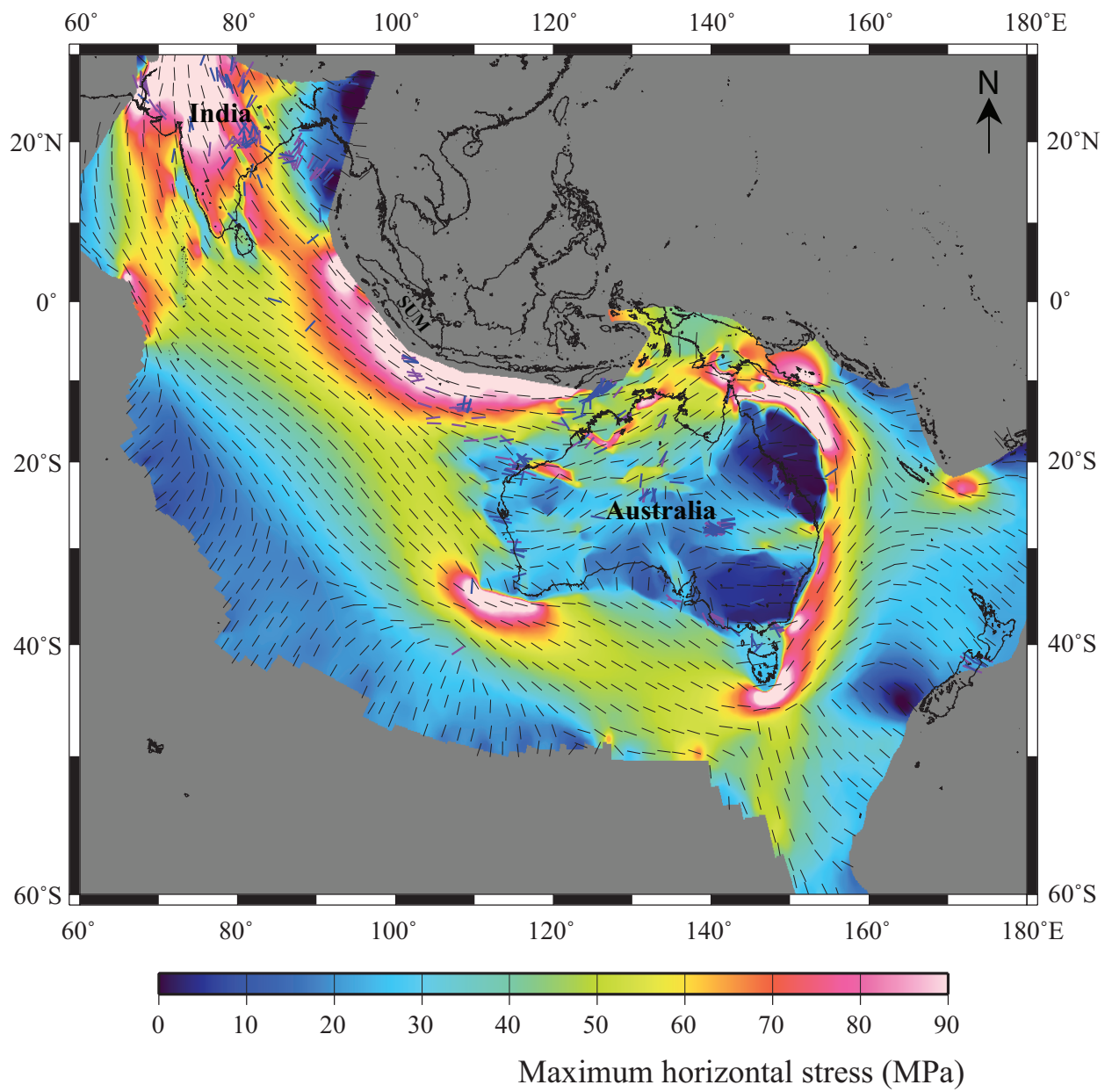


792 represents the Mulki-Pulikat Lake Axis (MPLA) (Subrahmanya, 1996), which  
793 separates northeast flowing rivers from southeast flowing rivers (shown as thick pink  
794 lines). Other details are as in Figure 2. PNR: Penner River; PLR: Palar River.

795 Figure 6. Magnetic anomalies of the Indian subcontinent from Emag2 (Maus et al., 2009),  
796 with the same structural and earthquake data overlain as on Fig. 5. The light blue  
797 lines within the Indian subcontinent represents the major permanent rivers. Other  
798 details are as in Figures 2 and 5.

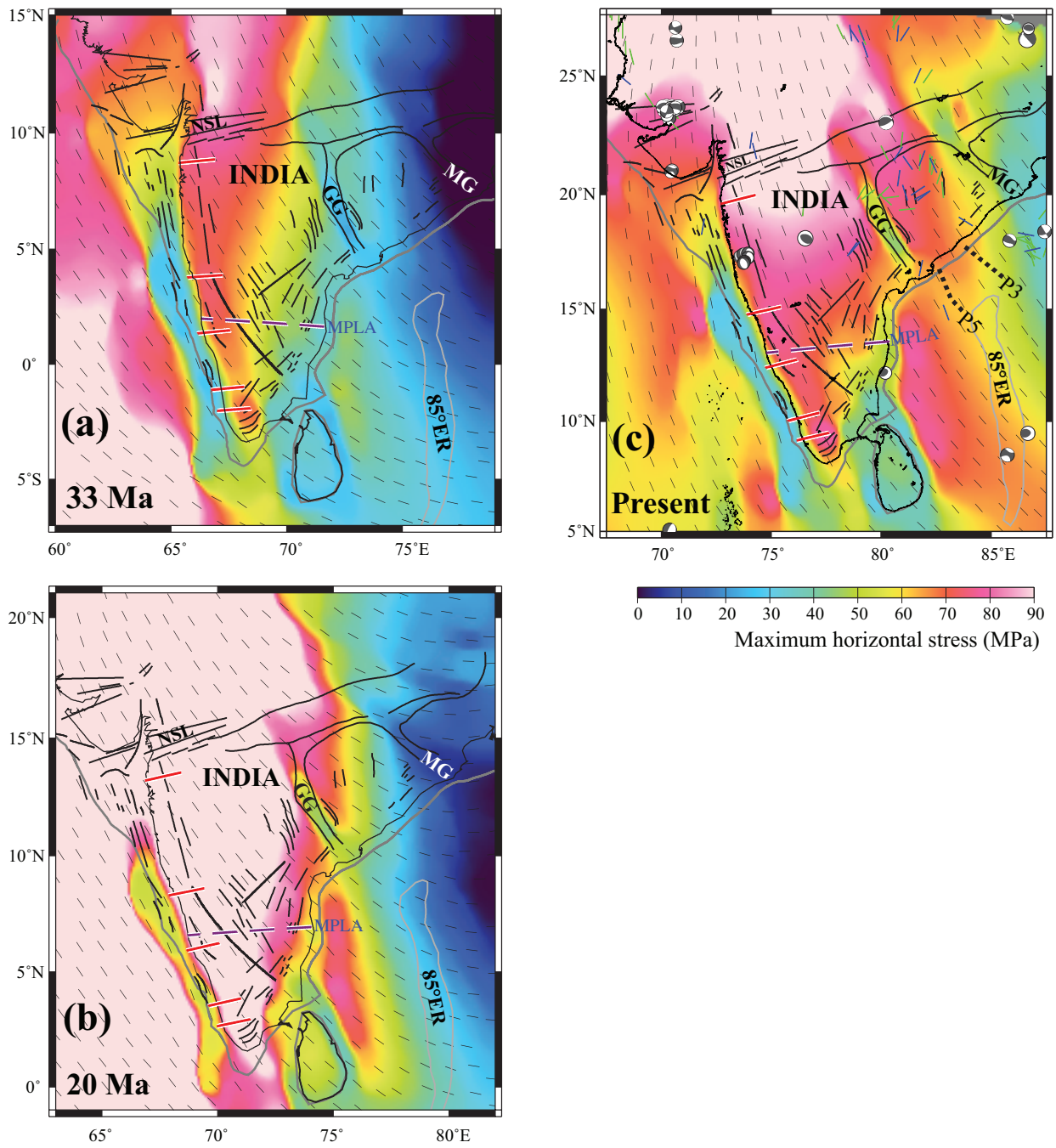
799 Figure 7. Colour-coded image of Bouguer gravity anomalies of the southeastern regions of India  
800 showing interpreted line drawings of NE-SW striking undulations (yellow lines) in  
801 the region assumed to have been caused by the orthogonal regional maximum  
802 horizontal stress field that persisted throughout the Neogene.

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Figure 1



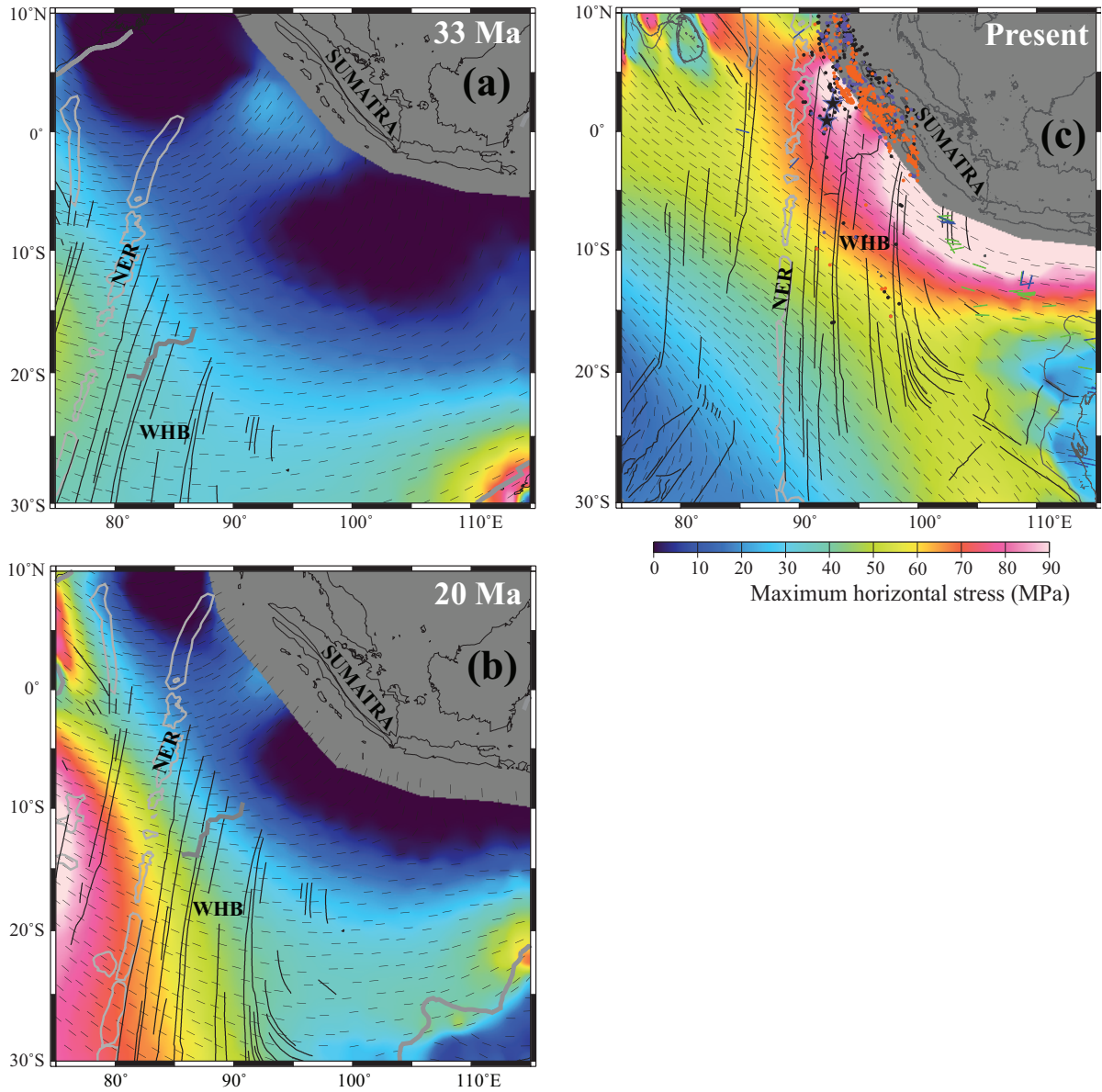


Figure 3

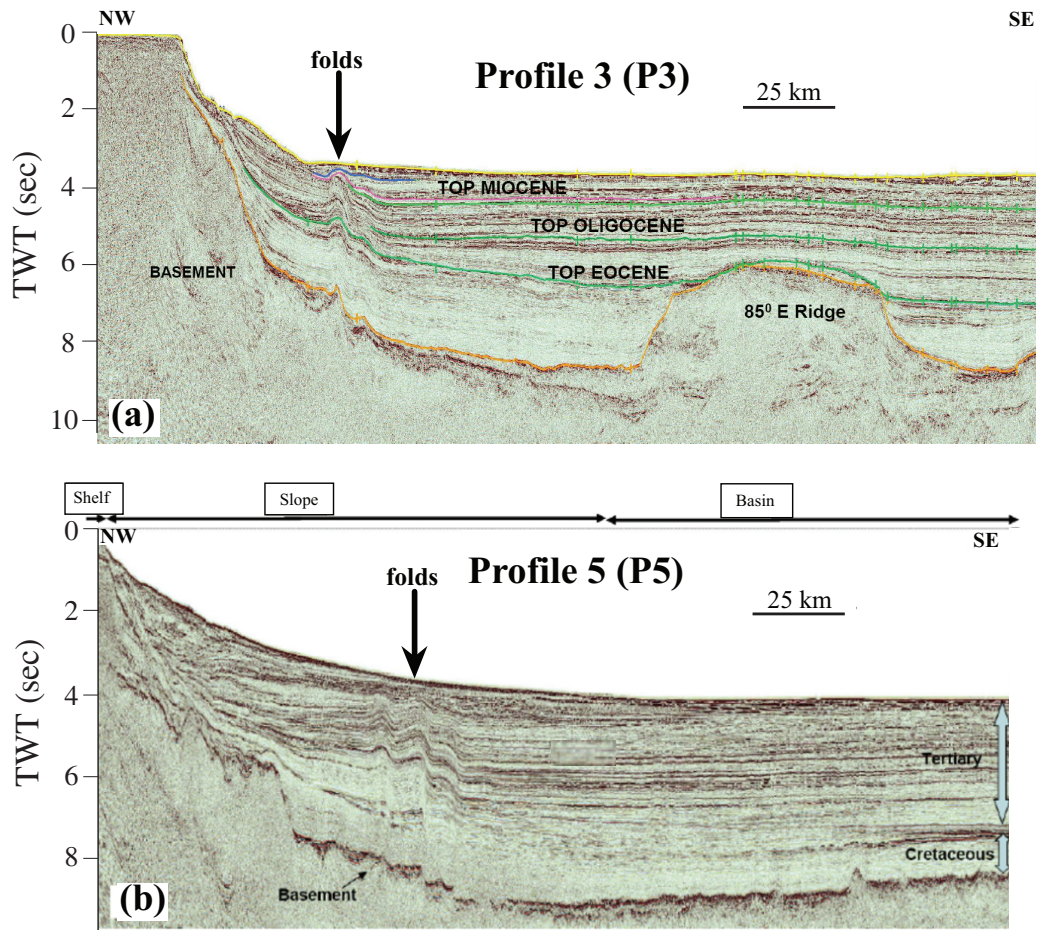


Figure 4

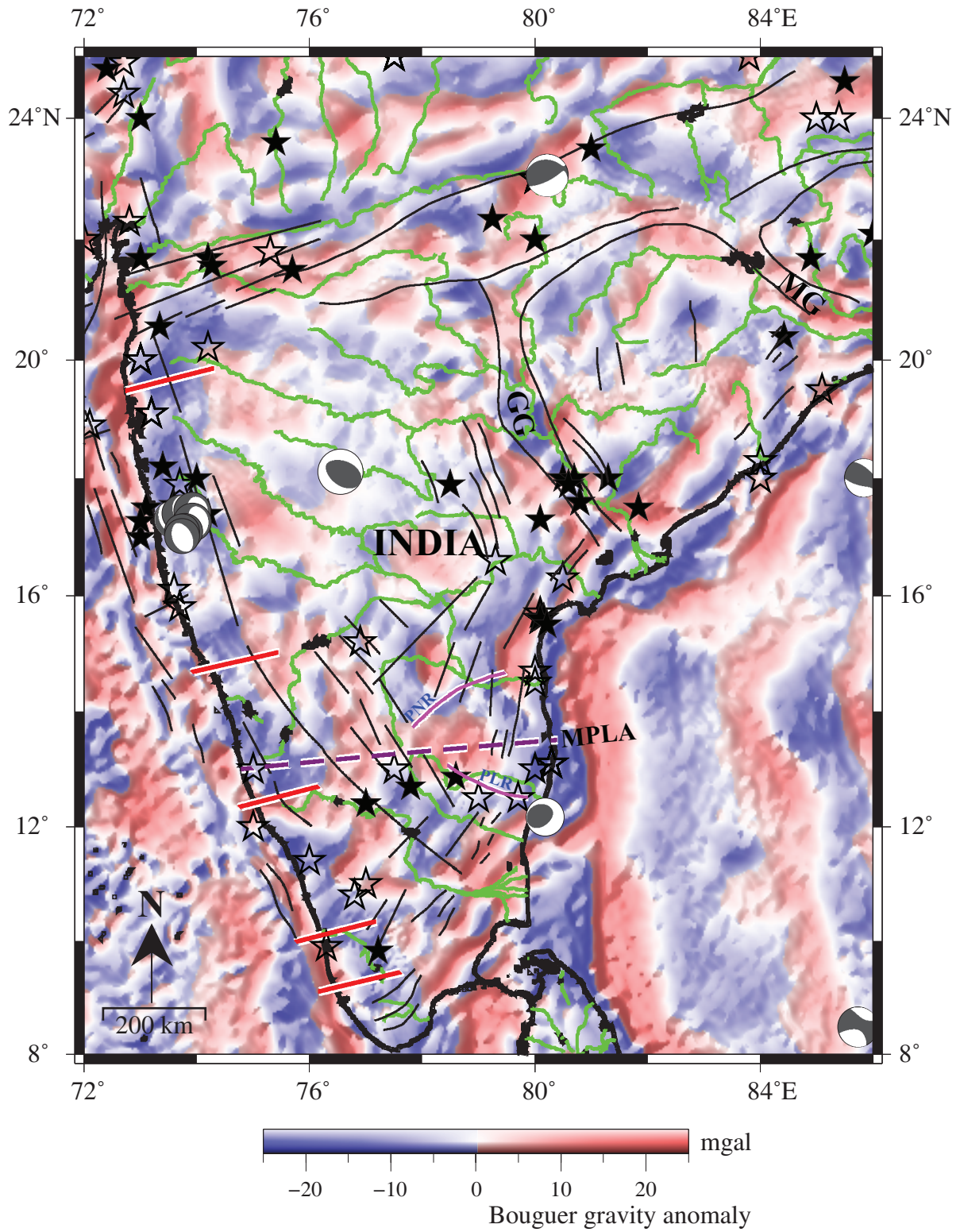


Figure 5

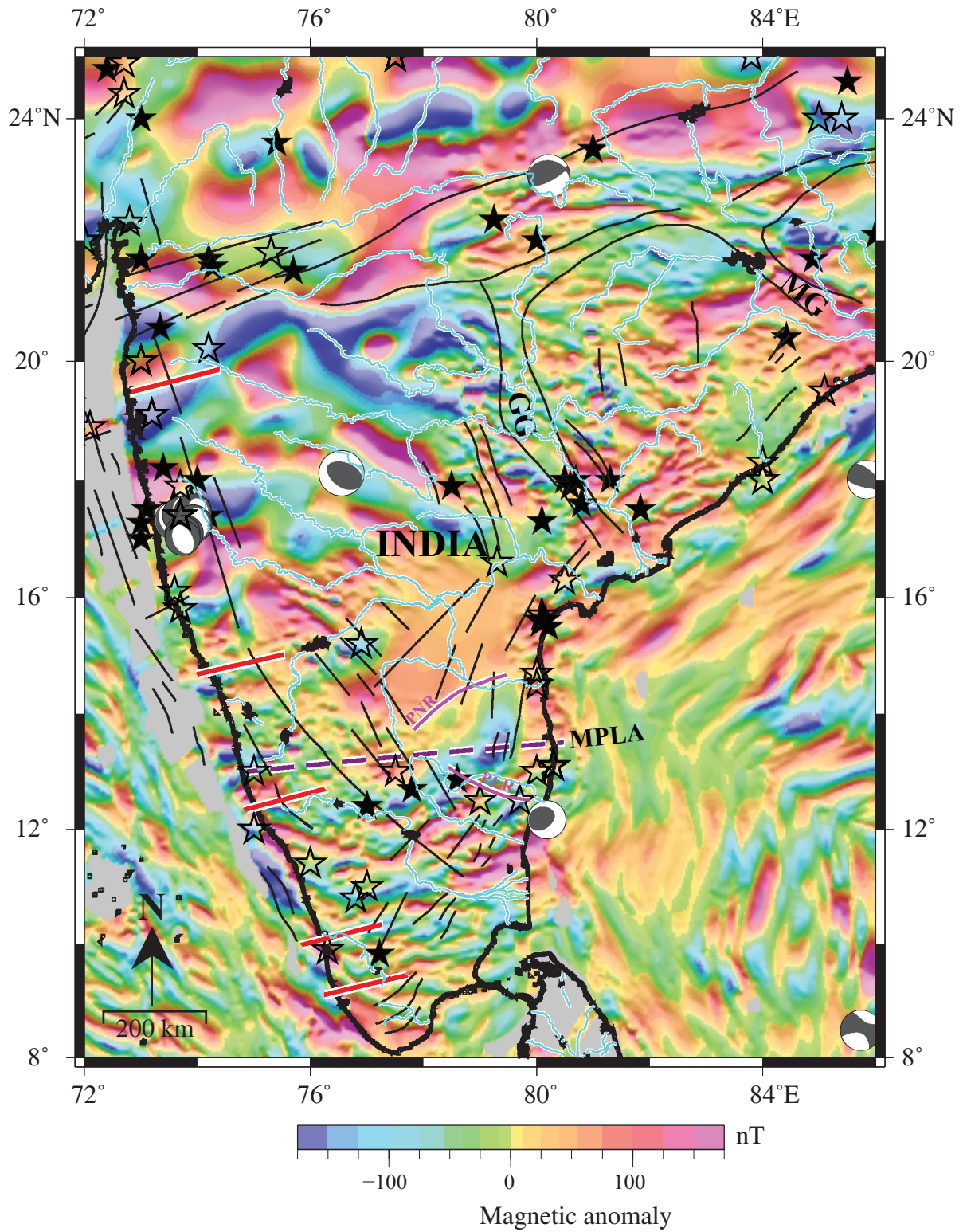


Figure 6

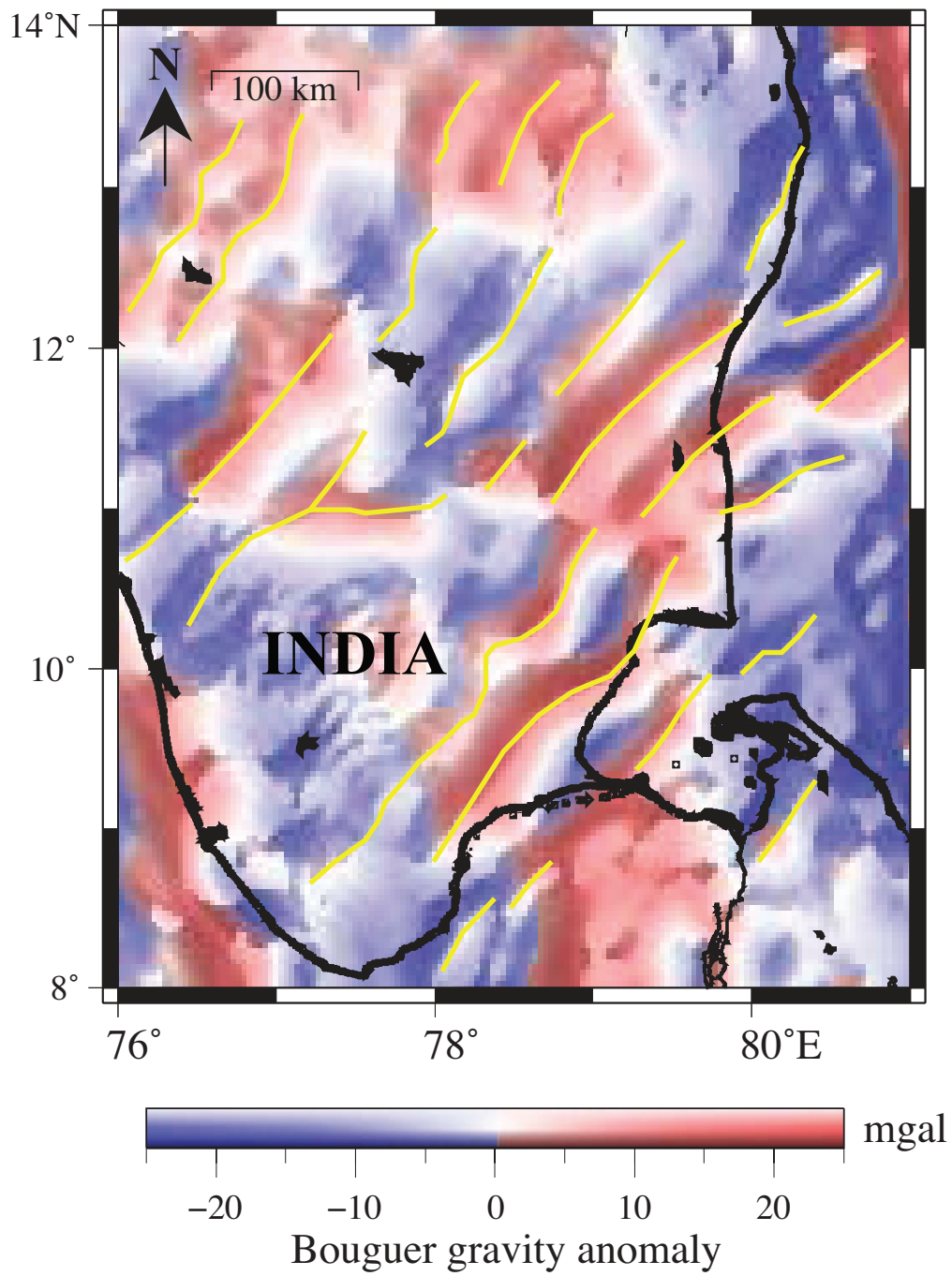


Figure 7