1	Large fluctuations of shallow seas in low-lying Southeast Asia driven by mantle flow				
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9	Key Points				
10	• Late Cretaceous-Eocene regional unconformity in Southeast Asia likely driven by dynamic				
11	topography				
12	• Collision of Gondwana-derived terranes choked subduction and resulted in regional				
13	dynamic uplift				
14	• Linked tectonic and mantle flow models highlight influence of plate-mantle system on				
15	surface processes				
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18 Abstract

19 The Sundaland continental promontory, as the core of Southeast Asia, is one of the lowest lying 20 continental regions, with half of the continental area presently inundated by a shallow sea. The role 21 of mantle convection in driving long-wavelength topography and vertical motion of the lithosphere 22 in this region has often been ignored when interpreting regional stratigraphy, including a 23 widespread Late Cretaceous-Eocene unconformity, despite a consensus that Southeast Asia is 24 presently situated over a large-amplitude, dynamic topography low resulting from long-term post-25 Pangea subduction. We use forward numerical models to link mantle flow with surface tectonics, and compare predicted trends of dynamic topography with eustasy and regional paleogeography to 26 27 determine the influence of mantle convection on regional basin histories. A Late Cretaceous collision of Gondwana-derived terranes with Sundaland choked the active margin, leading to slab 28 29 breakoff and a ~10-15 Myr-long subduction hiatus. Slab breakoff likely resulted in several hundred 30 meters of dynamic uplift and emergence of Sundaland between ~80 and 60 Ma, and may explain 31 the absence of a Late Cretaceous-Eocene sedimentary record. Renewed subduction from ~60 Ma 32 reinitiated dynamic subsidence of Sundaland, leading to submergence from ~40 Ma despite falling 33 long-term global sea levels. Our results highlight a complete 'down-up-down' dynamic topography cycle experienced by Sundaland, with transient dynamic topography manifesting as a major 34 35 regional unconformity in sedimentary basins.

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37 **1 Introduction**

The Indonesian islands and surrounding region represent one of the most low-lying continental areas on Earth with almost half of this region, known as Sundaland, presently inundated by a shallow sea (Figure 1a). The present-day low regional elevation has been attributed to the massive volume of oceanic slabs sinking in the mantle beneath Southeast Asia, leading to regional dynamic subsidence [*Bertelloni and Gurnis*, 1997; *Spasojevic and Gurnis*, 2012]. However, a Late Cretaceous to Eocene regional unconformity [*Clements et al.*, 2011; *Doust and Sumner*, 2007] indicates that shallow seas retreated following regional flooding during the mid-Cretaceous (Figure
1b) sea level highstand [*Haq*, 2014; *Haq et al.*, 1987]. During the Eocene, less than one fifth of
Sundaland was submerged (Figure 1c), despite estimates of global sea level being ~200 m higher
than at present [*Haq and Al-Qahtani*, 2005; *Haq et al.*, 1987]. Here we use forward models in
combination with regional paleogeography and estimates on eustasy to unravel how plate tectonics
and mantle convection may have influenced the flooding of Sundaland through time.

50 The post-Pangea tectonic history of Sundaland is dominated by long-lived subduction of 51 oceanic plates, including the Tethyan ocean basins from the south, and the Izanagi and Pacific 52 oceanic plates from the east [Hutchison, 1975; Katili, 1975; Metcalfe, 1988; Seton et al., 2012]. The 53 initiation of subduction along southern Eurasia during the Late Jurassic is suggested to have resulted in dynamic subsidence and the deposition of widespread Late Jurassic-Early Cretaceous 54 55 red beds (Figure 2) across Sundaland [Clements et al., 2011]. Independently, mid-Cretaceous 56 greenhouse conditions and a seafloor spreading pulse that produced large areas of young buoyant 57 oceanic seafloor resulted in rising global sea levels [Seton et al., 2009], reflected in a number of 58 eustatic sea level curves [Haq, 2014; Haq and Al-Qahtani, 2005; Haq et al., 1987; Müller et al., 59 2008]. A regional paleogeographic reconstruction [Golonka et al., 2006] suggests that flooding of Sundaland peaked in mid-Cretaceous times between ~120 and 90 Ma (Figure 1b), coincident with 60 61 rising global sea levels and dynamic subsidence from a well-established subduction zone along the 62 Sundaland margin, leading to the advance of shallow seas on the Sunda Shelf.

The East Java and West Sulawesi continental fragments were approaching the Sundaland active margin in the Late Cretaceous, with the lack of volcanic-derived zircons after 80 Ma [*Clements and Hall*, 2011] suggesting the end of subduction in this segment of the margin and suturing of these terranes to the core of Sundaland. Further west, subduction ceased on the Sumatra margin by ~75 Ma as a result of Woyla back-arc closure and intra-oceanic terrane accretion onto Sundaland [*Zahirovic et al.*, 2014]. This timing is consistent with a magmatic gap between ~75 and 60 Ma on Sumatra, suggesting a ~10–15 Myr hiatus in subduction along this margin [*McCourt et* 70 al., 1996]. These suturing events interrupted subduction along southern Sundaland, and could have 71 induced slab break-off, as has been suggested following continental collisions [Davies and von 72 Blanckenburg, 1995; Duretz et al., 2014]. Computations in a three-dimensional domain by van 73 Hunen and Allen [2011] suggest that slab break-off may occur 10-20 Myr after the entry of 74 continental crust into a subduction zone, while the computations of Li et al. [2013], also in 3D, 75 highlight the complexity and diachroneity of slab tearing following collision. Since we impose a 76 hiatus in subduction between ~75 and 60 Ma, our method does not capture the geodynamic 77 complexity of slab breakoff. However, our approach allows us to investigate the consequences of a 78 subduction hiatus on the large-scale topography of the overriding plate.

79 Dynamic uplift following slab detachment is thought to be responsible for the widespread emergence of Sundaland and the establishment of erosional regimes from Late Cretaceous to 80 81 Eocene times, resulting in a regional unconformity across Sundaland [Clements et al., 2011; Doust 82 and Sumner, 2007] (Figure 3). Large volumes of sediment were transported beyond the continental 83 slope of the Sunda Shelf (Figure 2) - including sediment shed into the accretionary prisms of 84 northern Borneo and southern Java [Clements and Hall, 2007]. Importantly, Late Cretaceous to 85 Eocene sediments are missing from almost all Sundaland basins [Clements et al., 2011; Doust and Sumner, 2007] (Figure 3), and thus the crustal deformation and uplift caused by Late Cretaceous 86 87 collisions of continental blocks to southern Sundaland are unlikely to be the dominant mechanism 88 responsible for the regional nature of the unconformity. Lithospheric flexure in response to loading 89 by orogenic belts generally results in downwarping inboard of the active continental margin, and 90 the establishment of foreland basins. This would lead to localised flooding in the vicinity of the 91 subduction zone, but not over large continent-wide spatial scales. Moreover, flexural deformation 92 and crustal deformation are typically limited to spatial scales of ~100-200 km [Buiter et al., 2002; 93 Gurnis, 1991; McKenzie, 2010], whereas wavelengths of mantle-driven topography are typically 94 many hundreds or thousands of kilometres, depending on the depth of density anomalies [Hager et 95 al., 1985].

96 Although the long-wavelength dynamic uplift of Sundaland resulting from slab break-off has been proposed conceptually [Clements et al., 2011], here we explicitly test such a scenario in the 97 98 context of plate tectonics, geodynamics, paleogeography and the role of long-term changing sea 99 levels in modifying the long-term flooding and emergence of Sundaland. We use 4D (3D space, and 100 time) global mantle flow numerical models [Bower et al., 2015; Flament et al., 2014] coupled with 101 regionally-refined global plate reconstructions [Zahirovic et al., 2014] to investigate the space-time 102 evolution of dynamic topography associated with the history of slab breakoff and subduction re-103 initiation, and the response of the overriding Sundaland continent.

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- 105 2 Methods

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2.1 Flooding extent from paleogeographic reconstructions

Paleogeographic maps that are constructed from detailed regional syntheses of paleo-facies 107 108 distributions provide a powerful insight into the shifting depositional (and erosional) environments 109 deep in geological time. A detailed paleogeographic map for Borneo and Sulawesi exists only for 110 the Cenozoic [Wilson and Moss, 1999], but not for the rest of Sundaland back to the Cretaceous. A 111 synthesis of basin sedimentary histories across Southeast Asia [Doust and Sumner [2007] provides 112 a deeper time and wider spatial context for depositional regions (Figure 3 and 4). The 113 paleogeographic maps of Golonka et al. [2006] are paleo-environmental reconstructions for both 114 erosional and depositional regions, in a plate tectonic context for the entire Southeast Asia region 115 (Figure S2), that span our model timeframe, as well as the entire Phanerozoic. We georeferenced 116 paleogeographic reconstructions of Southeast Asia from Golonka et al. [2006] and converted paleo-117 environments to categories of oceanic crust, inundated continental crust and emergent continental 118 crust (Figure 5). For the purpose of calculating areas of inundation and emergence, the polygons 119 representing the paleogeographies were reprojected into an equal-area cylindrical co-ordinate 120 system with a central meridian of 110°E and a standard parallel of 0°N, suitable for the near-121 equatorial positions of Sundaland since the Jurassic. Paleogeographic snapshots in geological stages with ~10–20 Myr temporal intervals are informative for the long-term geography of Sundaland, and
appropriate for comparisons with long-term sea level trends and topography evolution.

124 We calculated the long-term flooding of Sundaland from the area of inundated continental 125 crust in the paleogeographic reconstructions (Figure 5). The extent of Sundaland includes 126 Indochina, Sibumasu, the Malay Peninsula, Sumatra and Borneo, that have existed as a welded 127 continental block since the Jurassic, albeit with tectonically-reactivated boundaries following the 128 propagating effects of the India-Eurasia collision [Hall, 2002; Lee and Lawver, 1995]. The 129 continental portions of East Java and West Sulawesi were added following their accretion to Sundaland by ~80 Ma, as well as the accretion of Woyla arc material to Sumatra by ~75 Ma 130 131 [Zahirovic et al., 2014].

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2.2 Plate tectonics and geodynamics of Sundaland

We model global mantle flow based on the subduction history predicted by a global tectonic reconstruction [*Seton et al.*, 2012] with refinements for Southeast Asia [*Zahirovic et al.*, 2014] that are embedded in a recent global synthesis [*Müller et al.*, 2016] (see Digital Supplement). Viscous mantle flow is computed using CitcomS [*Zhong et al.*, 2008] and is driven by plate velocities (Figure 6) applied as time-dependent boundary conditions while the thermal structure of lithosphere and slabs are progressively assimilated in 1 Myr intervals from the plate reconstructions following *Bower et al.* [2015].

In the initial condition at 230 Ma, a thermochemical layer 113 km thick at the base of the mantle is assumed, in which material is 3.6% denser than ambient mantle. This set up suppresses the formation of mantle plumes, which allows subduction-driven dynamic topography to be isolated [*Flament et al.*, 2014]. The temperature and thickness of the lithosphere is derived using a halfspace cooling model and the synthetic age of the ocean floor [*Bower et al.*, 2015]. The global thermal structure of slabs is based on the location of subduction zones and on the age of the adjacent ocean floor [*Bower et al.*, 2015]. In the initial condition, slabs are inserted down to

148 1,400 km depth, with a dip of 45° down to 425 km depth and a dip of 90° below 425 km. Subduction zones thought to have initiated just prior to 230 Ma are inserted to a depth based on 149 150 their subduction duration and assuming a descent rate of 3 and 1.2 cm/yr in the upper and lower 151 mantle, respectively. Slabs are initially twice as thick in the lower mantle than in the upper mantle 152 to account for advective thickening. Subduction zones that appear during the model run are 153 progressively inserted in the upper mantle. The global thermal structure of the lithosphere and of 154 subducting slabs is inserted in the dynamic models at 1 Myr increments, to a depth of up to 350 km 155 at subduction zones [Bower et al., 2015].

156 The Rayleigh number is defined as

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$$Ra = \frac{\alpha_0 \rho_0 g_0 \Delta T h_M^3}{\kappa_0 \eta_0}, \qquad (1)$$

158 where α is the coefficient of thermal expansivity, ρ the density, g the acceleration of gravity, ΔT the 159 temperature change across the mantle, h_M is the thickness of the mantle, κ the thermal diffusivity, η 160 the viscosity, and the subscript "0" indicates reference values. Values are listed in Table 1.

161 The viscosity of the mantle depends on pressure and temperature (Figure 7) following:

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$$\eta = \eta_0(r) \exp\left(\frac{E_\eta}{R(T+T_\eta)} - \frac{E_\eta}{R(T_b+T_\eta)}\right)$$
(2)

where $\eta_0(r)$ is a pre-factor defined with respect to the reference viscosity η_0 for four layers: it is 163 equal to 1 above 160 km, either to 1 (for cases without asthenosphere, see Table 2) or to 0.1 (for 164 165 cases with an asthenosphere, see Table 2) between 160-310 km depth, to 1 between 310-660 km 166 depth and either to 100 or linearly increasing from 10 to 100 in the lower mantle below 660 km depth (see Table 2). E_{η} is the activation energy (E_{UM} in the upper mantle and E_{LM} in the lower 167 mantle), R is the universal gas constant, T is the dimensional temperature, T_{η} is a temperature offset, 168 169 and T_b is the ambient mantle temperature (values are listed in Table 1). The average model resolution, obtained with $\sim 13 \times 10^6$ nodes and radial mesh refinement, is $\sim 50 \times 50 \times 15$ km at the 170 surface, $\sim 28 \times 28 \times 27$ km at the core-mantle boundary (CMB), and $\sim 40 \times 40 \times 100$ km in the mid-171 172 mantle.

173 We present mantle evolution from the latest Jurassic (~160 Ma), from which time the plate 174 reconstructions in *Zahirovic et al.* [2014] are regionally refined (Figure 6 and 8), and extract the 175 average dynamic topography acting on Sundaland from the Late Cretaceous (100 Ma) to present. 176 The dynamic topography h is obtained by scaling the total normal stress σ_{rr} on the top model 177 surface following:

$$h = \frac{\sigma_{rr}}{\Delta \rho g_0},\tag{3}$$

where $\Delta \rho$ is the density difference between the shallow mantle $\rho_{\rm UM} = 3340$ kg m⁻³ and sea water 179 $(\rho_{\rm w} = 1030 \text{ kg m}^{-3})$, R_0 is the radius of the Earth and other parameters are listed in Tables 1 and 2. 180 Water-loaded dynamic topography is calculated from the vertical stress resulting from mantle flow 181 182 in restarts of the main calculation with free-slip boundary conditions while ignoring buoyancy and 183 lateral viscosity variations above 350 km depth, which is the maximum depth to which subducting 184 slabs are inserted using time-dependent upper boundary conditions [see Bower et al., 2015 for 185 details]. As a result, we cannot model slab breakoff, and instead focus on the role of a subduction hiatus that we infer from a ~75 to 61 Ma magmatic gap on Sumatra. Four model cases were run to 186 187 test the sensitivity of the results to the choice of radial viscosity profile and absolute plate motion 188 model (Table 1, Figure 7). Cases 1, 3 and 4 incorporate a low-viscosity asthenosphere, unlike Case 189 2. The radial viscosity is uncertain and so, we test end-member profiles, with either a one- or two-190 order of magnitude increase in viscosity in the lower mantle with respect to the mantle transition 191 zone (Case 1 and 2, respectively). In Case 3 and 4 we implement a progressive increase in viscosity 192 from a factor of 10 at the base of the transition zone to a factor of 100 deeper in the lower mantle 193 (10→100 in Table 2), following Steinberger and Calderwood [2006]. To test the influence of the 194 absolute reference frame for plate motions, we apply the subduction-calibrated longitudinal 195 positions from van der Meer et al. [2010] in Case 3, and a moving hotspot frame from Torsvik et al. 196 [2008] and a True Polar Wander-corrected reference frame based on Steinberger and Torsvik 197 [2008] for earlier times, as described in Seton et al. [2012] and Müller et al. [2016], for the other 198 cases.

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200 3 Results

3.1 Comparison of present-day predictions to residual topography and seismic tomography

203 Predictions of present-day dynamic topography can be compared with estimates of residual 204 topography taken from the synthesis of Winterbourne et al. [2014]. The dynamic topography for 205 Southeast Asia is superimposed with point-estimates of residual topography (colored circles and 206 triangles in Figure 9). A perfect fit between predicted dynamic topography and observed residual 207 topography would result in a slope of 1, assuming that all or most of the residual topography is due 208 to dynamic topography. Keeping in mind the scarcity of residual topography estimates, we assess which mantle viscosity and tectonic histories give the smaller RMS distance between predicted 209 210 dynamic topography and residual topography.

211 The present-day amplitude and spatial distribution of predicted dynamic topography across 212 Southeast Asia are generally consistent with available constraints on residual topography 213 [Winterbourne et al., 2014], as reflected by a root-mean-square (RMS) distance of ~250 to 300 m 214 between predictions (dynamic topography ranging between approximately -1600 and 0 m across all 215 models) and constraints (residual topography ranging between approximately -1800 and +200 m). 216 Assumptions underlying the estimates of residual topography by *Winterbourne et al.* [2014] used 217 here include a model for the cooling of the oceanic lithosphere, and a model for the seismic velocity 218 of sedimentary columns in the oceans. As with standard deviations, predicted versus observed 219 values within one RMS error (grey lines - Figure 9) are assumed to represent a good fit and are 220 plotted as circles. Acceptable values, within two RMS errors (dashed grey lines - Figure 9), are 221 plotted as upright triangles. Between 62 and 72% of predictions fall within one RMS across model 222 cases (Figure 9). One value in the Bengal Fan region (BF, Figure 9b), and one to two values in the 223 Pacific (Figure 9a-d) are outside the acceptable errors (plotted as inverted triangles). However, 224 these may be outliers in the Winterbourne et al. [2014] compilation due to high corrections for

225 sediment thicknesses. Discrepancies between predicted dynamic topography and estimates of 226 residual topography could also reflect that sources of buoyancy and lateral viscosity variations are 227 ignored in the top 350 km of the mantle in the calculation of dynamic topography, although the 228 shallow mantle is expected to be a source of short-wavelength dynamic topography [Colli et al., 229 2016; *Hoggard et al.*, 2016]. The match between residual and dynamic topography is comparatively 230 better for Case 2 than the other models, with an RMS value of ~249 m and 72% of residual 231 topography values in overall agreement with the dynamic topography prediction. The better match 232 to residual topography in Case 2 is largely due to the lower amplitude of the predicted dynamic 233 topography, in which the model has a viscosity jump of 100 from the upper to lower mantle (Figure 234 7). Although the other cases predict higher dynamic topography amplitudes, the regional and time-235 dependent trends (see Section 3.2) that are the key to our interpretations are consistent between 236 models.

237 The predicted present-day mantle temperature is qualitatively compared to P- and S-wave 238 seismic tomographic models, assuming that seismic velocity anomalies largely result from thermal 239 perturbations [Becker and Boschi, 2002; Grand, 2002]. The tomographic models share first-order 240 similarities, but differ on scales smaller than several hundred kilometres. This is due to the 241 earthquake sources used, earthquake relocations applied, the crustal correction and model parameterization [Grand, 2002; Romanowicz, 2008]. Although the P-wave models result in higher 242 resolution imaging of subduction zones and the mantle beneath continents, their resolution and 243 coverage of oceanic regions is more limited than S-wave models [Romanowicz, 2003; 2008]. The 244 Sunda slab has been consistently interpreted as a north-dipping feature to a depth of ~1500 km in 245 246 the mantle beneath the Sundaland continental promontory in P-wave tomography models 247 [Widiyantoro and van der Hilst, 1996]. Other post-Jurassic Tethyan slabs related to subduction at 248 the Sunda margin are beneath oceanic regions, that are likely best-sampled by S-wave tomographic 249 models.

250 The highest resolution models for the area are the P-wave models, including MIT-P [Li et 251 al., 2008] (Figure 9c) and GAP P4 [Obavashi et al., 2013]; these models are supplemented by S-252 wave models that provide more uniform sampling of the lower mantle [Romanowicz, 2003], 253 including S40RTS [Ritsema et al., 2010] and GRAND-S [Grand, 2002] (Figure 10). Our numerical 254 models (Cases 1–4) are compatible with the first-order mantle structure interpreted from P- and Swave tomography (Figure 10), including the positions of the upper- to mid-mantle Sunda slab and 255 256 the lower mantle Meso-Tethyan and East Asian slabs (Figure 10). Importantly, the Late Cretaceous 257 subduction hiatus along southern Sundaland reproduces the gaps in the slab at the expected depths 258 including the mid mantle (~1500 km) and lower mantle (~2000 km, Figure 10) in Cases 2 and 4. 259 Case 2 predicts the correct depth of the Sunda slab, and better matches residual topography than the 260 other cases. We note that all our cases predict the Sunda slab using a range of mantle viscosity 261 profiles and alternative absolute plate motion models. Although an assumption of vertical slab 262 sinking would infer that the lower mantle slab material is purely from the Jurassic-Cretaceous 263 Sundaland active margin, our time-dependent mantle flow models suggest that slabs are both from 264 Tethyan and East Asian subduction (Figure 8).

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3.2 Comparison between time-dependent predictions and the geological record

268 The Mesozoic flooding history of Southeast Asia is characterised by episodes of advancing 269 and retreating shallow seas (Figure 11a). The regional sedimentary record is a key constraint indicating past regional flooding, such as the mid Cretaceous Eromanga and Surat basins of eastern 270 Australia, and is therefore crucial for interpreting the dynamic topography from mantle flow models 271 272 [Gurnis et al., 1998]. Mantle flow models show a regional prevalence of negative dynamic 273 topography associated with Tethyan subduction along the southern Sundaland margin, and Izanagi 274 and (proto-) Pacific subduction to the north and east (Figure 8). However, following suturing of the 275 Woyla terranes and the West Sulawesi-East Java continental blocks to Sundaland between ~80-276 75 Ma [Clements and Hall, 2011; Zahirovic et al., 2014], the region experienced broad dynamic 277 uplift as a consequence of subduction cessation (Figure 8 and 11b). The magmatic gap from ~75 to 278 61 Ma on Sumatra [McCourt et al., 1996] is consistent with Woyla accretion and subduction 279 cessation, while the resumption of arc volcanism by ~60 Ma indicates renewed subduction along 280 the entire Sundaland southern margin. Regional dynamic subsidence was re-established from ~40 Ma (Figures 8, 11b and 12), as negatively buoyant slabs sank in the upper mantle. This 281 282 ~ 20 Myr lag time between subduction initiation and the development of a widespread dynamic 283 topographic low on Sundaland is caused by the time taken for the upper mantle to be populated with 284 sinking slabs from circum-Sundaland subduction. Although the absolute values for dynamic 285 topography are sensitive to the radial viscosity structure (Figure 12), and to a lesser extent the 286 absolute plate motion reference frame, the regionally-averaged dynamic topography trends are 287 common to all models, which highlights the control of the subduction history on the dynamic topography trends for Sundaland (Figure 11b). We compute the dynamic topography considering 288 289 sources of buoyancy deeper than 250 km (Figure 12, dashed green line), to verify that the timing of 290 dynamic uplift and subsidence for Sundaland remain consistent with the dynamic topography 291 derived from sources of buoyancy deeper than 350 km.

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293 4 Discussion

294 The mantle beneath Southeast Asia has been dominated by post-Pangea subduction with long-295 term convergence between Eurasian, Indo-Australian and Pacific plates. Seismic tomography of the 296 mantle provides crucial clues in untangling the complex history of plate motions that led to the present-day labyrinthine network of suture zones and plate boundaries in Southeast Asia [Hall and 297 298 Spakman, 2015; Wu et al., 2016]. By comparing plate reconstructions in a Siberia-fixed reference 299 frame with depth slices of P-wave seismic tomography, Replumaz et al. [2004] interpreted the 300 nature and timing of key changes in the geodynamic evolution of Southeast Asia, and inferred links 301 between surface tectonics and mantle evolution. Their interpretations suggested an average slab 302 sinking rate of 5 and 2 cm/yr in the upper and lower mantle, respectively, and the onset of India-

Confidential manuscript submitted to Geochemistry, Geophysics, Geosystems

303 Eurasia continental collision at ~55-40 Ma. The dip of the Sunda slab beneath Sundaland is 304 interpreted by Replumaz et al. [2004] to result from ~5-10° southward slab rollback since ~50 Ma, 305 however, the assumed plate reconstructions in Replumaz et al. [2004] do not model the south-306 dipping subduction of the Proto South China Sea along northern Borneo since ~50 Ma [Hutchison, 307 1996; Soeria-Atmadja et al., 1999; Zahirovic et al., 2014] and do not accommodate oroclinal 308 bending of Sundaland [Hutchison, 2010; Zahirovic et al., 2014]. The oroclinal bending was likely responsible for the ~50° counter-clockwise rotation of Borneo since 25 Ma [Fuller et al., 1999], 309 310 which influenced the evolution of the Sunda Trench, while the subduction of the Proto South China 311 Sea is an additional factor required in interpreting the present-day Sunda slab structure.

312 The work of *Kárason* [2002] applied a similar approach and interpretation as *Replumaz et al.* [2004] and modeled the evolution of the Sunda slab using an analytical Stokes flow solution using a 313 314 swarm of 'slablets' in a regional framework where the time-dependent position of the southward-315 retreating Sunda Trench was applied in the surface boundary condition. The prediction of the slab 316 structure was filtered using synthetic seismic tomography, providing a better comparison between 317 predicted slab structure and tomography. Our approach is somewhat similar to the time-dependent 318 incorporation of the subduction zone location on the surface as in the model in Kárason [2002], but 319 differs significantly in the modelling approach. First, the analytical solution in Kárason [2002] does 320 not take into account temperature-dependence of viscosity and does not solve the energy equation. 321 Second, the model of *Kárason* [2002] is regional, and begins with a pristine mantle at ~50 Ma, and 322 third, such an approach does not incorporate variable convergence rates or lithospheric thickness of the downgoing plate. In contrast, our global geodynamic models incorporate more realistic mantle 323 324 and slab rheologies and allow us to track the origin and trajectory of slabs in the mantle. However, the work of Kárason [2002] presented an important development in linking tectonic reconstructions 325 326 of Southeast Asia to mantle evolution and the present-day mantle structure from seismic 327 tomography.

328 Sundaland's post-Jurassic long-wavelength topography has been influenced by the evolution 329 of regional subduction zones in addition to the effect of changing global sea levels. Long-term 330 global sea level change plays a significant role in the advance and retreat of marine environments 331 across continental shelves [Gurnis, 1993; Haq et al., 1987], and is therefore an important driving 332 mechanism to consider for the flooding and emergence of Sundaland. However, our results suggest 333 that dynamic topography played an important role in the long-term emergence and inundation of 334 Sundaland since the Late Cretaceous. Our analysis is based on long-term sea level chronologies 335 derived from sea level highstands (Figure 11a), representing the first- and second-order sea level 336 cycles (several to many millions of years) related to large-scale tectonics [Lovell, 2010; Vail et al., 337 1977], which are applicable to the long-term paleogeographic analysis of Sundaland flooding. 338 Although a number of eustatic sea level curves exist, we favour sea level histories derived globally 339 [Spasojevic and Gurnis, 2012] rather than locally because global estimates of sea level are not 340 strongly influenced by regionally-transient dynamic topography [Conrad and Husson, 2009; 341 Moucha et al., 2008; Müller et al., 2008; Spasojevic and Gurnis, 2012]. For example, the long-term 342 relative sea level curve derived from the Arabian Platform in Hag and Al-Qahtani [2005] shares 343 major trends with the eustatic sea level curve from Haq et al. [1987] (Figure 11a), but shows the 344 potential role of regional factors (including dynamic topography) that cause such regional curves to 345 deviate from global trends.

346 The flooding of Sundaland between ~100 and 80 Ma is likely due to high eustatic sea levels [Haq, 2014; Haq et al., 1987] amplified by dynamic subsidence from post-Jurassic subduction 347 [McCourt et al., 1996; Zahirovic et al., 2014]. However, the predicted dynamic topography trends 348 349 alone reproduce the timing of shallow sea retreat from ~80 to 60 Ma, as well as the subsequent 350 flooding from ~40 Ma (Figure 11b). This is in contrast with the long-term sea level trend, which is 351 largely falling since ~30 Ma and is out of phase with the inundation of Sundaland. Thus, regional 352 dynamic uplift during Late Cretaceous to Eocene times is a plausible mechanism for Sundaland 353 emergence and the establishment of a contemporaneous regional unconformity. Renewed dynamic subsidence from ~40 Ma, along with the onset of rifting in many Sundaland basins, plays an important role in the long-term advance of shallow seas on the Sunda Shelf while rapidly falling global sea levels from ~15 Ma partly offset this flooding and result in widespread regressive deltaic sedimentation from mid-Miocene times [*Doust and Sumner*, 2007] (Figure 3 and 4).

358 In addition, the interaction of the Wharton Ridge with the Sunda active margin (Figure 4), and 359 the resulting slab window [Whittaker et al., 2007], may play a role in moderating the subduction-360 induced dynamic subsidence. In our plate reconstructions the Wharton Ridge sweeps progressively 361 westwards across the Sunda active margin from ~60 Ma near Java until it is abandoned at ~40 Ma adjacent to Sumatra. The proximity of the Wharton Ridge at ~60-55 Ma to eastern Sundaland is 362 363 contemporaneous with the onset of rifting in the Barito and Lombok basins along eastern 364 Sundaland, with a generally westward progression of basin rift activation in Sundaland (Figure 4, 365 Animation S1). This pattern of basin activations is consistent with oroclinal bending of Sundaland 366 [Hutchison, 2010; Zahirovic et al., 2014], with a potential role of thermal weakening of Sundaland 367 lithosphere and crust from a Wharton Ridge slab window. However, the geodynamic models only 368 predict a moderating effect of the Wharton Ridge on dynamic topography, with slightly weaker dynamic subsidence for central and eastern Sundaland at ~48 Ma (Figure 8d). Our numerical 369 370 experiments of mantle flow are subduction-driven, and since plumes are suppressed, the resulting 371 dynamic topography only represents the influence of sinking slabs in the mantle and the large-scale 372 mantle upwellings elsewhere. As a result, the subduction history dominates the dynamic topography 373 acting on Sundaland in our models, with second order effects such as the Wharton Ridge slab window moderating the amplitude of the long-wavelength topography. 374

The timeframe of the regional unconformity likely represents an extended period of erosion or non-deposition, with any eroded sediments likely deposited in the Eocene-Miocene Crocker Fan on northern Borneo [*Clements and Hall*, 2007; *Clements and Hall*, 2011], where the largest volume of Paleogene sediments of Southeast Asian origin have been preserved in a single basin (Figure 2). The zircon age-spectra from the sediments confirm a distal Sundaland source, including the

380 Cretaceous-age Schwaner (southwest Borneo) and the Permo-Triassic (Malay-Thai) Tin Belt granitoids [van Hattum et al., 2006], which were eroded during the Late Cretaceous to Eocene 381 382 regional dynamic uplift. To the south, reworked pre-Cenozoic sediments, including sediments 383 sourced from Southwest Borneo, were shed into a prograding deltaic system along Java to form the 384 Ciemas and Bayah Formations (Figure 2) during the Eocene [Clements and Hall, 2007]. The Late 385 Cretaceous to Eocene unconformity (Figure 3) on Sundaland itself provides no clues for the onset 386 of the erosional event, while the resumption of Eocene-Oligocene sedimentation records post-387 Eocene rifting in the Gulf of Thailand, Natuna Basins and Java Sea [Doust and Sumner, 2007] 388 during which subsidence was enhanced by stronger negative dynamic topography. Our plate 389 reconstructions linked to numerical mantle flow models suggest that dynamic uplift started in the 390 Late Cretaceous, sometime between ~80 and 65 Ma, based on a hiatus in subduction-related 391 volcanism on Sumatra, Java and Borneo [Clements and Hall, 2011; McCourt et al., 1996; Zahirovic 392 et al., 2014]. Although our approach does not capture the dynamics of slab breakoff, we expect that 393 a \sim 15 Myr interruption in subduction would have resulted in dynamic uplift in the overriding plate. 394 as occurs in our models. However, since our computation of dynamic topography excludes the 395 contributions of the shallowest ~350 km mantle depths, our modelling does not capture the full 396 response of the crust and lithosphere to slab breakoff. Our results are therefore only relevant for the 397 influence of large-scale mantle flow for Sundaland, and more work is required to isolate the role of 398 crustal, lithospheric and shallow-mantle processes during slab breakoff.

The method presented in this study uses a rigid plate motion model for Southeast Asia, with only implied continental deformations, such as the extrusion of Indochina and the oroclinal bending of Borneo [*Zahirovic et al.*, 2014]. Recent work has used this rigid plate motion model as a starting point in constructing a deforming plate reconstruction for Sundaland [*Yang et al.*, 2016]. The deforming plate reconstruction is incorporated into a backward advection model for the last 50 Myr (approx. Eocene), with the present-day mantle structure taken from the RUM slab model [*Gudmundsson and Sambridge*, 1998] and seismic tomography from S40RTS [*Ritsema et al.*,

406 2011]. The backward advection method applied by Yang et al. [2016] also reports dynamic 407 subsidence since the Eocene resulting from a slab avalanche, with a larger prediction of subsidence 408 of up to ~400 m since ~40 Ma. In addition, they suggest that basin subsidence and 409 contemporaneous basin inversion can result from an avalanching Sunda slab. Since the amplitude of 410 past dynamic topography is difficult to constrain, we focus on the trends (Figure 11b) rather than 411 the absolute values (Figure 12), as the trends highlight major changes in the regional dynamic uplift 412 or subsidence. The benefit of backward advection models is that they incorporate the present-day 413 mantle structure from seismic tomography as an initial condition, contrary to our models that are 414 agnostic of the present-day mantle structure. However, since backward advection techniques are 415 limited to the last ~75 Ma [Bunge et al., 2003; Conrad and Gurnis, 2003], we apply a forward 416 modelling approach to study the longer-term evolution of dynamic topography for Southeast Asia. 417 Future work should focus on coupling mantle flow with lithospheric- and crustal-scale deformation 418 and surface process models to better quantify the relative contributions from mantle, lithosphere, 419 crustal, surface and eustatic mechanisms.

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421 **5** Conclusions

422 A Late Cretaceous collision of Gondwana-derived terranes [Clements et al., 2011; Zahirovic 423 et al., 2014] resulted in regional dynamic uplift and emergence due to a $\sim 10-15$ million-year-long subduction hiatus along the Sunda active margin [McCourt et al., 1996]. Regional dynamic uplift 424 425 can account for the absence of sediment deposition across Sundaland and the emergence of 426 Sundaland between ~80–60 Ma. Renewed subduction from ~60 Ma reinitiated dynamic subsidence 427 of Sundaland, amplified by active rifting in a number of basins, leading to submergence from 428 ~40 Ma despite decreasing long-term global sea levels [Hag and Al-Qahtani, 2005; Hag et al., 429 1987; Spasojevic and Gurnis, 2012]. Our results show that Sundaland experienced a complete 430 'down-up-down' dynamic topography cycle over the last 100 million years, with the transience of topography revealed in sedimentary basin stratigraphy punctuated with regional unconformities; our 431

432 explicit connection to observations is consistent with the theoretical prediction of *Burgess and* 433 *Gurnis* [1995] that dynamic topography should contribute to generating unconformities over long 434 periods of geological time. Subduction-driven mantle convection models can be useful to interpret 435 the geological record of basins, with major regional trends providing insights into episodes of 436 dynamic uplift and subsidence, enabling a deeper understanding of the driving forces of Earth's 437 ephemeral coastlines.

438 By comparing geological data and global sea level evolution with predictions of 4D global 439 mantle flow models, we show that the subduction history had a strong influence over the long-term 440 flooding and emergence of Southeast Asia on geological timescales. Our model may explain the 441 timing of the Late Cretaceous-Eocene major regional unconformity on Sundaland, and may also account for the present-day distribution of residual topography and mantle structure. Our results 442 capture an entire cycle of dynamic uplift and subsidence that caused an advance, retreat and re-443 444 advance of shallow marine environments on Sundaland. This process may apply to other 445 continental regions that have experienced punctuated episodes of subduction. Predictions of the 446 long-term evolution of long-wavelength topography could be coupled with surface process models 447 to understand how erosion links low-amplitude and long-wavelength dynamic uplift to regional unconformities [Braun et al., 2013; Burgess and Gurnis, 1995; Clements et al., 2011] and 448 449 associated pulses of deposition in sedimentary basins. More generally, there is a need for methods to assess the dynamic contribution to regional sea level curves, as well as a need for new methods in 450 451 linking mantle and surface processes across wide spatial and temporal scales.

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458	Tables

Table 1. Parameters common to all model cases. Subscript "0" denotes reference values.

Parameter	Symbol	Value	Units
Rayleigh number	Ra	7.84×10^{7}	_
Thermal expansion coefficient	α_0	3×10^{-5}	K^{-1}
Density	$ ho_0$	4000	$\mathrm{kg}\mathrm{m}^{-3}$
Gravity acceleration	g_0	9.81	$\mathrm{m \ s}^{-2}$
Temperature change	ΔT	2825	Κ
Temperature offset	T_{η}	452	Κ
Background mantle temperature	T_b	1685	Κ
Mantle thickness	h_M	2867	km
Earth radius	R_{0}	6371	km
Universal gas constant	R	8.31	J mol ⁻¹ K ⁻¹
Thermal diffusivity	κ_0	1×10^{-6}	$m^2 s^{-1}$
Reference Viscosity	η_0	1×10^{21}	Pa s
Activation energy (upper mantle)	$E_{\eta UM}$	100	kJ mol ⁻¹
Activation energy (lower mantle)	$E_{\eta LM}$	33	kJ mol ⁻¹
Activation temperature	T_{η}	452	К

Table 2. Parameters for numerical mantle flow models in Case 1–4

	Case 1	Case 2	Case 3	Case 4	
Mesh nodes	$129 \times 129 \times 12$ (nodes on the surface) \times 65 (depth levels)				
Viscosity relative to					
Reference Viscosity (Lithosphere, Upper mantle/Asthenosphere, Transition zone, Lower Mantle)	1,0.1,1,100	1,1,1,100	1,0.1,1,10→100 following <i>Steinberger and</i> <i>Calderwood</i> [2006]	1,0.1,1,10→100	
Plate reconstruction	Zahirovic et	<i>al</i> . [2014]	Reconstructions from Zahirovic et al. [2014] with subduction-calibrated longitudinal positions from van der Meer et al. [2010]	Zahirovic et al. [2014]	

470 Figure Captions

Figure 1. Long-term continental inundation of Sundaland. (a) About 42% of Sundaland continental 471 area is flooded at present, which is similar to (b) the mid-Cretaceous. In contrast, the continental 472 473 promontory was emergent for much of the Eocene (c), a period marked by erosional environments corresponding to a widespread regional unconformity [Clements et al., 2011]. Past inundation 474 475 patterns were deduced from paleogeographic reconstructions of Golonka et al. [2006]. Oceanic 476 crust (dark blue) is not included in the calculation of inundation. BOR, Borneo (Schwaner Core); 477 CF, Crocker Fan; Ci-Ba, Ciemas and Bayah Formations; ICH, Indochina; MP, Malay Peninsula; 478 SIB, Sibumasu; SSh, Sunda Shelf; SUM, Sumatra; WS, West Sulawesi.

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Figure 2. Eocene paleogeographic reconstruction, modified from *Golonka et al.* [2006] and *Clements and Hall* [2011], highlighting the likely sources of sediments (Tin Belt Granites, Schwaner Mountain Granitoids, etc.) and the likely sediment sinks (Crocker Fan, Java basins and accretionary prism) from sedimentary provenance studies. Late Jurassic to Early Cretaceous red beds are plotted from *Clements et al.* [2011]. Inferred paleo-drainage patterns (dark blue lines) for the Eocene are shown only for schematic purposes. Brown arrows indicate the likely sedimentary provenance across Sundaland.

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488 Figure 3. Simplified schematic of basin histories for Southeast Asia synthesized from Doust and 489 Sumner [2007], including the changing tectonic and paleo-environmental settings recorded in the 490 sedimentary sequences. The regional unconformity across Sundaland was extracted from each basin 491 (red), highlighting the dominance of mid-Cretaceous to Eocene erosional environments on 492 Sundaland. The advance of shallow seas on Sundaland is recorded as the progression from 493 lacustrine environments during ~45-30 Ma to transgressive deltaic settings during ~30-22 Ma, 494 followed by fully marine environments until ~15 Ma. However, by ~15 Ma global sea levels had fallen significantly due to growing inland ice sheets where long-term advance of flooding of 495

496 Sundaland was likely reversed. Full long-term exposure of Sundaland, as experienced in the latest 497 Cretaceous to Paleocene, has not occurred since ~15 Ma as demonstrated by continued deposition 498 of regressive deltaic sequences. Wavy lines represent unconformable contacts, many of which have 499 age uncertainties. Basin names and outlines are provided in Figure S1.

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Figure 4. Paleo-environments interpreted from basin stratigraphy as age-coded by *Doust and Sumner* [2007], attached to the plate reconstruction from *Zahirovic et al.* [2014], show progressive
flooding and sedimentary deposition in Sundaland basins since the Eocene. Plate boundaries and
velocities are presented as well as seafloor ages in shades of grey. IC – Indochina, SC – South
China, BOR – Borneo, WS – West Sulawesi, WB – West Burma, MP – Malay Peninsula, SIB –
Sibumasu, SSh – Sunda Shelf, (P)SCS – (Proto) South China Sea, PSP – Philippine Sea Plate.

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508 Figure 5. Long-term continental inundation of Sundaland derived from Golonka et al. [2006]. 509 Inundated continental areas (light blue) are most widespread in the Late Jurassic, Cretaceous and 510 post-Miocene times. Conversely, much of the continental area is emergent (orange) by Eocene 511 times, consistent with the widespread erosional/non-depositional regime on Sundaland that 512 represents the regional Late Cretaceous-Eocene unconformity [Clements et al., 2011]. Post-Eocene 513 flooding propagated into the Sunda Shelf and the Gulf of Thailand, even though long-term eustatic 514 sea levels were falling during this time [Haq and Al-Qahtani, 2005; Haq et al., 1987]. The input 515 paleogeographic maps are provided in Figure S2.

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517 Figure 6. The large-scale mantle evolution from a 4D global numerical model of mantle flow using 518 CitcomS and visualised in GPlates. Time-dependent evolution of mantle flow coupled to the plate 519 reconstructions for Case 4, with subducting lithosphere (blue), and large-scale mantle upwelling 520 (red). The location of plate boundaries are plotted as white lines, subduction zones are thick yellow regions, plate velocities are colored vectors and reconstructed present-day coastlines as white
translucent regions centred on Sundaland (S). See Animation S2.

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Figure 7. Horizontally averaged (a) temperature and (b) temperature-dependent viscosity radial
profiles for Cases 1–4 at present-day.

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527 Figure 8. Model dynamic topography and temperature as predicted by Case 4. A great circle profile 528 (thick black line) largely representative of the southern Sundaland active margin was reconstructed 529 in the plate frame of reference (i.e., Sumatra fixed). The evolution of mantle temperature is represented on a vertical profile from the surface to the core-mantle boundary. The Early 530 531 Cretaceous tectonics of Sundaland is dominated by the northward subduction of the Meso-Tethys 532 (MT) and the southward subduction of Izanagi Plate along the Natura and north Borneo active 533 margins to produce the East Asian slabs (EA) that interact with Tethyan slabs at depth. Rollback 534 and opening of the Woyla back-arc basin results in the formation of two independent Meso-Tethyan 535 slabs, followed by the onset of Woyla back-arc basin subduction (W) by ~100 Ma. The accretion of West Sulawesi and East Java to Borneo by ~80 Ma interrupts subduction on eastern Sundaland, 536 537 while the collision of the Woyla terranes with Sumatra from ~75 Ma obstructs subduction for ~10-538 15 Myr. Convergence and subduction is re-established along the Sunda segment (S) at ~65–60 Ma. 539 as indicated by the resumption of volcanism on Sumatra. The short-lived cessation of subduction 540 results in dynamic uplift during the Late Cretaceous to Eocene, while dynamic subsidence resumes 541 by ~40 Ma. PT, Paleo-Tethys slab. See Animation S3.

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Figure 9. Present-day dynamic topography predicted by Cases 1–4 is plotted on the map panels in
(a–d), and superimposed with point estimates of residual topography from Winterbourne et al.
[2014] (symbols colored according to residual topography). Root-mean-square (RMS) distance
between predicted dynamic topography and the residual topography of *Winterbourne et al.* [2014]

is presented in the scatter plots. Symbols are colored according to the difference between dynamic
and residual topography. Circles are within one RMS, upward triangles are within two RMS, and
inverted triangles are beyond two RMS.

550

551 Figure 10. Comparison of model predictions with seismic tomography. The slab contours 552 representing mantle 10% colder than ambient for Cases 1-4 along a present-day transect (a) are 553 compared to (b-e), equivalent vertical slices of P- and S-wave tomographic models [Grand, 2002; 554 Li et al., 2008; Obayashi et al., 2013; Ritsema et al., 2010]. The Sunda slab (S) is predicted by all 555 models to a depth of ~1500 km, which is largely consistent with the tomographic models and earlier 556 interpretations [*Widivantoro and van der Hilst*, 1996]. Importantly, the seismic tomography models 557 support the gap between the East Asian (EA) slabs in the lower mantle and the younger Sunda (S) 558 slab in the mid to upper mantle predicted by all four mantle flow models, and are a manifestation of 559 the ~80 to 65 Ma interruption of subduction along southern Sundaland. MT, Meso-Tethyan slabs. 560 BF, Bengal Fan; SCS, South China Sea.

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Figure 11. Processes influencing the continental inundation of Sundaland. (a) The evolution of long-term sea level (light and dark blue) with respect to present-day does not reproduce the flooding history of Sundaland. (b) The trends from regionally-averaged dynamic topography with respect to present-day (Cases 1 to 4) indicate a link between the emergence and flooding history of Sundaland since the Late Cretaceous, in contrast to the eustatic trends. The light brown shading in the background denotes widespread regional erosional environments likely responsible for the missing sections of rock from sedimentary sequences (Figure 3).

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Figure 12. Mean dynamic topography (DT). Average dynamic topography for Sundaland through
time in Cases 1 to 4 derived from sources of buoyancy deeper than 350 km (thick lines). For Case 4,
we also computed the dynamic topography from sources of buoyancy deeper than 250 km (dashed

573 thin green line), which highlights a higher amplitude of the dynamic topography prediction, while 574 maintaining the key trends of dynamic uplift between ~80–60 Ma and dynamic subsidence since 575 ~40 Ma. The thick lines are shifted in Figure 11b for the purpose of comparing trends in the 576 dynamic topography acting on Sundaland.

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579 Acknowledgements

580 S.Z. was supported by an Australian Postgraduate Award, a University of Sydney Vice Chancellor's Research Scholarship and ARC grant IH130200012. N.F. was supported by ARC 581 582 IH130200012. R.D.M. and M.S. were supported by ARC grants FL0992245 and FT130101564, 583 respectively. M.G. was partially supported by Statoil ASA and by the National Science Foundation 584 under grants CMMI-1028978, EAR-1161046 and EAR-1247022. We thank Sierd Cloetingh, Xi Liu 585 and Laurent Husson for constructive reviews that helped improve the manuscript. Figures were 586 constructed using Generic Mapping Tools [Wessel and Smith, 1998; Wessel et al., 2013], GPlates 587 (www.gplates.org) [Boyden et al., 2011], TimeScale Creator and ArcGIS. Numerical models were 588 carried out on the Sun Constellation VAYU cluster of the Australian National Computational 589 Infrastructure. The original CitcomS software was obtained from CIG, Computational Infrastructure 590 for Geodynamics (http://geodynamics.org). We thank J. Golonka and M. Pubellier for discussions on regional and global paleogeographic and plate reconstructions. The data used are listed in the 591 592 references, tables, supplements and file repository at ftp://ftp.earthbyte.org/Data Collections/Zahirovic etal Sundaland DynamicTopography.zip 593 and 594 ftp://ftp.earthbyte.org/Data Collections/Muller etal 2016 AREPS/Muller etal AREPS Suppleme 595 nt.zip.

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Coastal, Transitional and Marginal Marine



Fluvio - Lacustrine



Late Jurassic red beds













Dynamic Topography [m]

60°E

90°E

120°E

150°E

60°E

90°E

120°E

150°E

60°E

90°E

120°E

150°E

Temperature [Non-dim]



Dynamic topography, residual topography, or difference [m]









Geochemistry, Geophysics, Geosystems

Supporting Information for

Large fluctuations of shallow seas in low-lying Southeast Asia driven by mantle flow

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Contents of this file

Figures S1 to S2

Additional Supporting Information (Files uploaded separately)

Captions for Movies S1 to S3

Introduction

The supporting information includes two figures and explanations of the digital datasets and associated animation files.



Figure S1. Distribution of sedimentary basins (yellow) across Sundaland, adapted from *Zahirovic et al.* [2014]. Thick blue and green lines represent sutures, and magenta polygons represent ophiolite exposures. Thin blue lines and polygons represent present-day fluvial and lacustrine systems.



Figure S2. The paleogeographic maps from *Golonka et al.* [2006] georeferenced in a similar relative plate motion model presented in *Wright et al.* [2013]. Orange and red represent mountains. Yellow represents emergent land, and green regions represent terrestrial and/or lacustrine environments. Light blue represents continental shelves, and deep blue represents deeper marine environments. Grey represents

continental slopes, and purple represents large fluvial fan systems. Detailed key to colors is provided in *Golonka et al.* [2006].

Movie S1. Animation of basin paleo-environments embedded in a plate tectonic reconstruction as in Figure 4.

Movie S2. 4D animation of mantle flow model from Case 4 as in Figure 6.

Movie S3. Animation of the vertical slice from Case 4 as in Figure 8.

Data Set S1. Sundaland flooding curve (percentage of flooded continental crust).

Data Set S2. Set of GPlates-compatible polygons whose intersections can be used to re-create the regions we extracted to compute the average dynamic topography for Sundaland.